

Edgar Filing: SARUBBI JOSEPH A - Form 5

SARUBBI JOSEPH A  
Form 5  
February 14, 2002

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FORM 5  
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- CHECK THIS BOX IF NO LONGER SUBJECT TO SECTION 16. FORM 4 OR FORM 5 OBLIGATIONS MAY CONTINUE. SEE INSTRUCTION 1(B).
- FORM 3 HOLDINGS REPORTED
- FORM 4 TRANSACTIONS REPORTED.

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

|  |   |
|--|---|
| 1. Name and Address of Reporting Person(1) | 2. Issuer Name and Ticker or Trading Symbol |
|--|---|

Sarubbi, Joseph A.

NUWAVE TECHNOLOGIES, INC. (WAVE)

|  |                             |                                |   |                                    |
|--|-----------------------------|--------------------------------|---|------------------------------------|
| (Last)<br><br>3221 So. Ocean Blvd., Apt. 908 | (First)<br><br><br>(Street) | (Middle)<br><br><br><br>(City) | 3. IRS Identification<br>Number of Reporting<br>Person, if an entity<br>(Voluntary) | 4. Statement<br>Month/Year<br>12/0 |
|--|-----------------------------|--------------------------------|---|------------------------------------|

|                          |   |
|--------------------------|---|
| Highland Beach, FL 33484 | 5. If Amendme<br>of Origina<br>(Month/Yea |
|--------------------------|---|

|        |         |       |
|--------|---------|-------|
| (City) | (State) | (Zip) |
|--------|---------|-------|

6. Relationship of Reporting Person to Issuer

(Check all applicable)

|            |           |
|------------|-----------|
| X Director | 10% Owner |
|------------|-----------|

|  |   |
|--|---|
| -----<br>Officer (give<br>----- title below) | -----<br>Other (specify<br>----- below) |
|--|---|



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FORM 5 (CONTINUED) TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFITED  
 (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of<br>Derivative<br>Security<br><br>(Instr. 3) | 2. Conversion<br>or<br>Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/<br>Day/<br>Year) | 4. Trans-<br>action<br>Code<br><br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable<br>Expiration Date<br>(Month/Day/<br>Year) |
|---|---|--|---|---|--|
|   |   |  |   | (A)      (D)  | Date<br>Exercisable      Expiration<br>Date                    |
| Stock Option  | \$0.810   | 1/3/01   | A   | 1,667   | 1/3/01      1/3/01   |
| Stock Option  | \$0.810   | 1/3/01   | A   | 1,667   | 1/3/02      1/3/02   |
| Stock Option  | \$0.810   | 1/3/01   | A   | 1,666   | 1/3/03      1/3/03   |
| -----   | -----   | -----  | -----   | -----   | -----  |
| -----   | -----   | -----  | -----   | -----   | -----  |
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(1) International misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

|                                   |         |
|-----------------------------------|---------|
| /s/ Joseph A. Sarubbi             | 2/12/02 |
| -----                             | -----   |
| Signature of Reporting Person (1) | Date    |

Note: File three copies of this Form, one of which must be manually signed.  
If spaced provided is insufficient, see Instruction 6 for procedure. Page 2