

Edgar Filing: DARDEN RESTAURANTS INC - Form 4

DARDEN RESTAURANTS INC  
Form 4  
December 07, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

-----  
1. Name and Address of Reporting Person\*

SMITH JACK A  
-----  
(Last) (First) (Middle)

5900 LAKE ELLENOR DRIVE  
-----  
(Street)

ORLANDO FL 32859-3330  
-----  
(City) (State) (Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

DARDEN RESTAURANTS, INC. (DRI)  
-----

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)  
-----

4. Statement for Month/Year  
-----

11/2001  
-----

5. If Amendment, Date of Original (Month/Year)  
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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

-----  
7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person  
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Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |
|---------------------------------------|---|---|---|--|------------------|
|                                       |   | Code                                    | V | Amount   | (A)<br>or<br>(D) |
| Common Stock                          |   |   |   |  |                  |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5) |     | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                         | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |
|--|---|--|---|--|-----|--|-------------------------|---|
|  |   |  |   | (A)  | (D) | Exer-<br>cisable<br>Date   | Expira-<br>tion<br>Date |   |
| Phantom Stock  |   |  |   | 1  |     | 1  |                         | Common  |

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Units 1-for-1 11/25/01 A V 210.3 Stock 210.3

Explanation of Responses:

1 The Reporting Person elected to defer a portion of the quarterly Director's compensation under the Darden Restaurants, Inc. Compensation Plan for Non Employee Directors, to be matched by equivalent value in Darden Restaurants, Inc. common stock upon the Reporting Person's termination of board service.

/s/ Douglas E. Wentz

12/07/01

\*\*Signature of Reporting Person

Date

BY: DOUGLAS E. WENTZ, Attorney-in-fact

SMITH, JACK A  
5900 LAKE ELLENOR DRIVE  
P.O. BOX 593330  
ORLANDO, FL 32859-3330  
DARDEN RESTAURANTS, INC. (DRI)  
11/2001

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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