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ELLSWORTH GROWTH & INCOME FUND LTD

Form NSAR-B

November 23, 2016

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000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 Ellsworth Growth and Income Fund Ltd.
001 B000000 811-04656
001 C000000 9149215100
002 A000000 One Corporate Center
002 B000000 Rye
002 C000000 NY
002 D010000 10580
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010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000002 84-01761
010 C010002 WILMINGTON
010 C020002 DE
010 C030002 19809
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER, LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
PAGE 2
013 B040001 3659
014 A000001 G.research, LLC
014 B000001 8-21373
014 A000002 G.distributors, LLC
014 B000002 8-68697
015 A000001 STATE STREET BANK AND TRUST COMPANY

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015 B000001 C
015 C010001 BOSTON
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020 A000002 BNY CONVERGEX EXECUTION SOLUTIONS LLC
020 B000002 13-3989198
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020 A000003 G.RESEARCH, LLC
020 B000003 13-2885006
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020 B000004 43-0538770
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020 A000005 GMP SECURITIES LLC
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020 A000006 MONNESS, CRESPI, HARDT & CO., INC.
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020 A000007 DEUTSCHE BANK SECURITIES INC.
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020 A000009 CREDIT SUISSE SECURITIES (USA) LLC
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020 A000010 LADENBURG THALMANN CO., INC.
020 B000010 13-2700032
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022 A000001 OPPENHEIMER & CO., INC.
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022 A000002 BARCLAYS CAPITAL, INC.
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022 B000002 06-1031656
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022 A000003 JEFFERIES & COMPANY, INC.
022 B000003 95-2622990
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022 A000004 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
022 B000004 13-5674085
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022 A000005 GOLDMAN, SACHS & CO.
022 B000005 13-5108880
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022 B000006 13-294498
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022 A000007 MILLER TABAK + CO. LLC
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022 A000008 NOMURA SECURITIES INTERNATIONAL, INC.
022 B000008 13-2642206
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022 A000009 MORGAN STANLEY & CO., INC.
022 B000009 13-2655998
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054 A000000 Y
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054 D000000 N
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PAGE 6

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077 Q020000 N
077 Q030000 N
078 000000 N
080 A000000 TRAVELERS-ST.PAUL FIRE AND MARINE INSURANCE
080 B000000 GREAT AMERICAN INSURANCE COMPANY
080 C000000 29175
081 A000000 Y
081 B000000 46
082 A000000 N
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088 A000000 N
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SIGNATURE SCOTT R. FUCHS
TITLE ASST. TREASURER