Edgar Filing: Reid Julian - Form 4/A

Reid Julian

Form 4/A January 18,	2018										
FORM	Л 4									APPROVAL	
	UNITED	STATES		RITIES AN Ashington, D			NGE C	OMMISSION	OMB Number:	3235-0287	
Check the check	loer								Expires:	January 31, 2005	
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Estimated burden h response	d average ours per		
(Print or Type	Responses)										
	Address of Reporting LONDON INVES		Symbol	er Name and Ti A FUND INC			g	5. Relationship o Issuer	f Reporting P	Person(s) to	
(Last)	(First)	(Middle)			-	•]		(Che	ck all applica	ble)	
77 GRACECHURCH STREET,			3. Date of Earliest Transaction(Month/Day/Year)01/16/2018					Director X 10% Owner Officer (give title below) Other (specify below)			
File			4. If Amendment, Date Original Filed(Month/Day/Year) 01/17/2018					 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person X_ Form filed by More than One Reporting 			
LONDON,	X0 EC3V0AS							_A_ Point filed by Person	More than One	c Reporting	
(City)	(State)	(Zip)					_	uired, Disposed o	of, or Benefic	-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	Transaction(A Code (In (Instr. 8)	Securitio) or Disp astr. 3, 4 mount	posed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value $.01$ per share (1)								308,857	I	By: Emerging (BMI) Markets Country Fund (2)	
Common Stock, par value $\$.01$ per share (1)								116,563	I	By: Emerging Markets Free Fund	
Common								250,030	Ι	By:	

Stock, par value $\$.01$ per share (1) Common Stock, par value $\$.01$						172,029	I	Emerging Markets Global Fund (4) By: Emerging Markets
per share (1)						,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		Investable Fund (5)
Common Stock, par value $\$.01$ per share (1)	01/16/2018	Р	13,008	А	\$ 23.06	353,352	I	By: Global Emerging Markets Fund <u>(6)</u>
Common Stock, par value $.01$ per share						168,067	Ι	By: The Emerging World Fund (7)
Common Stock, par value $\$.01$ per share (1)						158,448	I	By: Emerging Free Markets Country Fund <u>(8)</u>
Common Stock, par value $\$.01$ per share (1)						305,041	I	By: Emerging Markets Country Fund (9)
Common Stock, par value $\$.01$ per share (1)						46,658	I	By: Investable Emerging Markets Country Fund (10)
Common Stock, par value $\$.01$ per share (1)						42,096	Ι	By: The EM Plus CEF Fund <u>(11)</u>
Common Stock, par value \$.01 per share $\frac{(1)}{2}$						2,293,373	I	By: unaffiliated third-party segregated accounts (12)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	Title	or Number		
						Exercisable	Date	Title	of		
				Code V	(Λ) (D)				Shares		
				Coue v	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON, X0 EC3V0AS		Х					
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS		Х					
Reid Julian C/O ANJIE LAROCCA, DEUTSCHE ASSET MGMT. 345 PARK AVENUE NEW YORK, NY 10154		Х					
Silver Richard C/O ANJIE LAROCCA, DEUTSCHE ASSET MGMT. 345 PARK AVENUE NEW YORK, NY 10154		Х					
Signatures							
/s/ Barry Olliff, Director- City of London Group PLC			01/18	8/2018			
<u>**</u> Signature of Reporting Person			Da	ate			

/s/ Barry Olliff, Director- Limited	City of London Investment Management Company	01/18/2018
	**Signature of Reporting Person	Date
/s/ Julian Reid		01/18/2018
	**Signature of Reporting Person	Date
/s/ Richard A. Silver		01/18/2018
	<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is filed jointly by City of London Investment Group, PLC ("CLIG"), City of London Investment Management Company Limited ("CLIM"), Julian Reid, and Richard A. Silver (collectively, the "Reporting Persons"). Each of the Reporting Persons is a

- (1) member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein. No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of the Issuer.
- (2) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (3) These securities are beneficially owned by Emerging Markets Free Fund.
- (4) These securities are beneficially owned by Emerging Markets Global Fund.
- (5) These securities are beneficially owned by Emerging Markets Investable Fund.
- (6) These securities are beneficially owned by Global Emerging Markets Fund.
- (7) These securities are beneficially owned by The Emerging World Fund.
- (8) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (9) These securities are beneficially owned by by Emerging Markets Country Fund.
- (10) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (11) These securities are beneficially owned by The EM Plus CEF Fund.
- (12) These securities are beneficially owned by unaffiliated third-party segregated accounts.

Remarks:

This amendment is being filed solely to include Julian Reid under Item 1. Mr. Reid executed as a Reporting Person the origin

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.