Edgar Filing: Fish Andrew D. - Form 4

Fish Andrew Form 4											
February 28,	_										
FORM	RITIES A	ND EX(СНА	-	APPROVAL						
		shington,	Number:	3235-0287 January 31							
Check thi if no long subject to	ger STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	r Filed pur ^{ns} Section 17(inue.	a) of the	Public U	6(a) of the	e Securit ling Con	ipan	y Act	nge Act of 1934 of 1935 or Sect 940		•	
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u></u> Fish Andrew D.			2. Issuer Name and Ticker or Trading Symbol				-	5. Relationship of Reporting Person(s) to Issuer			
			ERN BANCORP, INC.				(Check all applicable)				
INC., 42 EA	(First) (ERN BANCORI ST LANCASTE		3. Date of (Month/D 02/26/20	-	ansaction			X Director Officer (gi below)		0% Owner Other (specify	
AVENUE											
Filed(Mor				nendment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
PAOLI, PA	19301							Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities A	cquired, Disposed	of, or Benefic	ially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	 te 2A. Deemed Execution Date, if any (Month/Day/Year) 		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
~				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	02/26/2019			А	700 (1)	Α	\$0	2,216	D		
Common Stock								2,450	Ι	By IRA	
Common Stock								1,190	I	By Fish Family Partnership	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Dei Sec (In:
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 20.9	02/26/2019		А	1,000	(2)	02/26/2029	Common Stock	1,000	

Reporting Owners

Reporting Owner Name / Address			Relationsh		
F8 - 0 0	Director	10% Owner	Officer	Other	
Fish Andrew D. C/O MALVERN BAN 42 EAST LANCASTE PAOLI, PA 19301		Х			
Signatures					
/s/ Andrew Fish	02/28/20)19			
<u>**</u> Signature of	Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 700 restricted shares vest in five annual 20% increments beginning on the one-year anniversary of the grant date and were granted under the Company's 2014 Long-Term Incentive Compensation Plan
- (2) The option becomes exercisable in five annual 20% increments beginning on the one-year anniversary of the grant date and were granted under the Company's 2014 Long-Term Incentive Compensation Plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Person

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.