Edgar Filing: ALDRICH RICHARD - Form 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). Print or Type Responses) 1. Name and Address of Reporting Person [±] ALDRICH RICHARD 2. Issuer Name and Ticker or Trading Symbol CONCERT PHARMACEUTICALS, INC. [CNCE] 2. Issuer Name and Ticker or Trading Symbol CONCERT PHARMACEUTICALS, INC. [CNCE] 3. Date of Earliest Transaction (Month/Day/Year) Of/14/2018 5. Relationship of Reporting Person(s) to Issuer C/O CONCERT PHARMACEUTICALS, INC., 99 HAYDEN AVENUE, SUITE 500 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Washington, D.C. 20549 OMB Subject to section 16. Form 5 obligations may continue. Section 16. Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB Expires: January 31, 2005 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). S. Relationship of Reporting Person's Section 17(a) of the Investment Company Act of 1935 or Section 1(b). S. Relationship of Reporting Person's Section 17(a) of the Investment Company Act of 1935 or Section 1(b). S. Relationship of Reporting Person(s) to Issuer S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) S. Director below) Implicable) Implicable) (C/O CONCERT PHARMACEUTICALS, INC., 99 HAYDEN AVENUE, SUITE 500 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check
Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB Number: 3235-0287 Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2005 Section 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Section 17(a) of the Public Utility Holding Company Act of 1940 Section 1940 Print or Type Responses 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer Section 10(b) of the Investment Company Act of 1940 Section 10(c) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) _X_Director below) _10% Owner (Month/Day/Year) (C/O CONCERT PHARMACEUTICALS, INC., 99 HAYDEN AVENUE, SUITE 500 4. If Amendment, Date Original 6. Individual or Joint/Group Filing/Check
Washington, D.C. 20549 Number: 3225-0267 Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2005 Section 16. SECURITIES SECURITIES Expires: 000000000000000000000000000000000000
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2017 2005 Section 16. SECURITIES Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol CONCERT PHARMACEUTICALS, INC. [CNCE] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
Print or Type Responses) 1. Name and Address of Reporting Person * ALDRICH RICHARD 2. Issuer Name and Ticker or Trading Symbol CONCERT PHARMACEUTICALS, INC. [CNCE] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) O6/14/2018 4. If Amendment, Date Original 5. Relationship of Reporting Person(s) to Surr (Check all applicable)
1. Name and Address of Reporting Person & ALDRICH RICHARD 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer ALDRICH RICHARD Symbol (CONCERT PHARMACEUTICALS, INC. [CNCE] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -XDirector -10% Owner C/O CONCERT 06/14/2018 -06/14/2018
ALDRICH RICHARD Symbol Issuer Symbol CONCERT PHARMACEUTICALS, INC. [CNCE] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person
LEXINGTON, MA 02421 Form filed by More than One Reporting Person
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially (D) or Indirect Beneficial (I) Owned (I) Owned (I) Ownership Following (Instr. 4) 6. Ownership Form: Direct (I) Ownership Following (Instr. 4)
Code V Amount (D) Price (Hour's tark of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (I) Date Exercisable	Expiration Date	Title	Amour or Numbe of Shar	
Nonstatutory Stock Option (Right to Buy)	\$ 19.59	06/14/2018		A	10,000	<u>(1)</u>	06/13/2028	Common Stock	10,00	
Nonstatutory Stock Option (Right to Buy)	\$ 19.59	06/14/2018		А	5,940	(1)(2)	06/13/2028	Common Stock	5,94	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ALDRICH RICHARD C/O CONCERT PHARMACEUTICALS, INC. 99 HAYDEN AVENUE, SUITE 500 LEXINGTON, MA 02421	Х					
Signatures						
/s/ Roger Tung as attorney-in-fact for Richard Aldrich		06/18/201	8			
**Signature of Reporting Person		Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares underlying this option vest in equal quarterly installments over a one-year period, through and including June 14, 2019.

(2) These options were awarded to the director per such director's election to receive annual cash compensation in the form of stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.