### Edgar Filing: Bats Global Markets, Inc. - Form 4

Bats Global Markets, Inc. Form 4 November 14, 2016

#### OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading KCG Strategic Holdings LLC Issuer Symbol Bats Global Markets, Inc. [BATS] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director X 10% Owner Other (specify Officer (give title C/O KCG HOLDINGS, INC., 300 11/09/2016 below) below) VESEY STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting NEW YORK, NY 10282 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 5. Amount of 6. Ownership 7. Nature of 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Security (Month/Day/Year) Execution Date, if Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(a)

		Code V	Amount	or (D)	Price	(Instr. 3 and 4)	
Common Stock	11/09/2016	S	382,000	D	<u>(1)</u>	12,332,791	D
Common Stock	11/10/2016	S	185,607	D	<u>(2)</u>	12,147,184	D
Common Stock	11/11/2016	S	100,000	D	<u>(3)</u>	12,047,184	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Under Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>					
1	Director	10% Owner	Officer	Other	
KCG Strategic Holdings LLC C/O KCG HOLDINGS, INC. 300 VESEY STREET NEW YORK, NY 10282		Х			
KCG Holdings, Inc. 300 VESEY STREET NEW YORK, NY 10282		Х			
KNIGHT CAPITAL GROUP LLC C/O KCG HOLDINGS, INC. 300 VESEY STREET NEW YORK, NY 10282		Х			
Signatures					
/s/ Robert McQueen, Deputy Gener Secretary		11/14/2016			
<u>**</u> Signature of Report	ing Person				Date
/s/ Robert McQueen, Deputy Gener Secretary	al Counse	l & Assistan	t		11/14/2016
<u>**</u> Signature of Report	ing Person				Date
/s/ Robert McQueen, Deputy Gener Secretary	al Counse	l & Assistan	t		11/14/2016
<u>**</u> Signature of Report	ing Person				Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the sale of 382,000 shares in multiple transactions at prices ranging from \$30.11 to \$30.39 per share, resulting in a weighted
  (1) average sale price per share of \$30.33. The reporting person undertakes to provide the SEC, the Issuer, or any security holder of the Issuer, upon request, full information pertaining to the number of shares sold at each price.
- Represents the sale of 185,607 shares in multiple transactions at prices ranging from \$30.80 to \$31.10 per share, resulting in a weighted
   (2) average sale price per share of \$30.91. The reporting person undertakes to provide the SEC, the Issuer, or any security holder of the Issuer, upon request, full information pertaining to the number of shares sold at each price.
- Represents the sale of 100,000 shares in multiple transactions at prices ranging from \$31.00 to \$31.01 per share, resulting in a weighted
   (3) average sale price per share of \$31.00. The reporting person undertakes to provide the SEC, the Issuer, or any security holder of the Issuer, upon request, full information pertaining to the number of shares sold at each price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.