Global Blood Therapeutics, Inc.

Form 3

April 04, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Farrow Jeffrey S

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/04/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

C/O GLOBAL BLOOD

THERAPEUTICS, INC., 400 EAST JAMIE COURT, SUITE

101

(Street)

Global Blood Therapeutics, Inc. [GBT]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director \_X\_\_ Officer

10% Owner Other

(give title below) (specify below) Chief Financial Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### **SOUTH SAN** FRANCISCO, Â CAÂ 94080

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** 

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Security:

2. Date Exercisable and (Month/Day/Year)

### Edgar Filing: Global Blood Therapeutics, Inc. - Form 3

Relationshine

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	02/23/2026	Common Stock	120,000	\$ 14.96	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Kelationships					
	Director	10% Owner	Officer	Othe			
Farrow Jeffrey S							
C/O GLOBAL BLOOD THERAPEUTICS, INC.	â	â	Chief Financial Officer	â			
400 EAST JAMIE COURT, SUITE 101	А	А	A Chief Financial Officer	A			
SOUTH SAN FRANCISCO, CA 94080							

# **Signatures**

/s/ John Schembri,
attorney-in-fact

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

25% of the shares subject to this option shall vest and become exercisable on April 4, 2017, and the remaining shares subject to this option shall vest and become exercisable in 12 equal quarterly installments thereafter. This option is subject to accelerated vesting upon

termination without cause or resignation for good reason after a change of control of the Issuer.

### Â

### **Remarks:**

Exhibit List: Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2