ZOGENIX, INC. Form 144 March 12, 2015 OMB APPROVAL **UNITED STATES** OMB Number: 3235 0101 SECURITIES AND EXCHANGE COMMISSION Expires: February 28, 2014 Washington, D.C. 20549 Estimated average burden hours per response.....1.00 SEC USE ONLY **FORM 144** DOCUMENT SEQUENCE NO. NOTICE OF PROPOSED SALE OF SECURITIES **CUSIP NUMBER** PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933 WORK LOCATION Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to ATTENTION: execute sale or executing a sale directly with a market maker (b) IRS (c) S.E.C. 1 (a) NAME OF ISSUER (Please type or print) IDENT. FILE NO. NO. Zogenix, Inc 20-5300780 001-34962 1 (d) ADDRESS OF ISSUERSTREETCITYSTATEZIP CODE (e) TELEPHONE NO. AREA **NUMBER** 12400 High Bluff Drive Suite 650 CODE San Diego 259-1165 CA 92130 858 (b) RELATIONSHIP TO ISSUER 2 (a) NAME OF PERSON FOR WHOSE Fund and other (c) ADDRESS STREETCITYSTATEZIP ACCOUNT THE SECURITIES ARE TO BE funds managed CODE by common 4000 ERICSSON DR Federated Kaufmann Fund, a portfolio adviser are WARRENDALE, PA 15086-7561 of Federated Equity Funds collectively 10% or greater shareholder INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and

the S.E.C. File Number.

(b)

Name and

Address of

3 (a)

the

Title of

SEC USE ONLY

Broker-Dealer

File Number

(c)

Number

of Shares

(d)

Aggregate

Market

(e)

Number of

Shares

(g)

Each

Approximate Name of

Date of Sale

(f)

Class of Securities To Be Sold	Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities	or Other Units To Be Sold (See instr. 3(c))	Value (See instr. 3(d))	or Other Units Outstanding (See instr. 3(e))	(See instr. 3(f)) (MO. DAY YR.)	Securities Exchange (See instr. 3(g))
	Liquidnet Inc 498 7 <sup>TH</sup> Ave NY, NY 10018  Cowen and					
Common Stock	Company 599 Lexington Avenue New York, NY 10022	4,076,276	\$4,973,057	153,000,000	03/12/15	NASDAQ
	Jones Trading Institutional Services 32133 Lindero Canyon Road, Suite 208 Westlake Village, CA 91361					

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### **INSTRUCTIONS:**

- 1.(a) Name of issuer
  - (b) Issuer's I.R.S. Identification Number
  - (c) Issuer's S.E.C. file number, if any
  - (d) Issuer's telephone number, including area code
  - (e) Issuer's address, including zip code
- 2.(a) Name of person for whose account the securities are to be sold
  - (b) Such person's I.R.S. identification number, if such person is an entity Such person's relationship to the issuer (e.g.,
  - (c) officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (d) Such person's address, including zip code

- 3.(a) Title of the class of securities to be sold
  - Name and address of each broker through whom the securities are intended to be sold
  - Number of shares or other units to be sold (if debt
  - securities, give the aggregate face amount)
    - Aggregate market value of the securities to be sold as of a
  - (d) specified date within 10 days prior to the filing of this notice
    - Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as
  - shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

#### TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
						Cash
Common Stock	07/24/2012	Secondary Offering	Wells Fargo as lead underwriter for company	16,500,000	07/27/12	

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the **INSTRUCTIONS:** consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

#### SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

	Title of Securities	Data of	Amount of
Name and Address of Seller	Title of Securities	Date of	Securities Sold Gross Proceeds
Traine and Address of Sener	Sold	Sale	Securities sold Gross Froceeds

Federated Kaufmann Fund, a portfolio of

Federated

reuerateu		2/17/15	1,205,419	\$1,684,661
Equity Funds	Common Stock	2/17/15	194.422	\$272,186
		2/19/15	326,435	\$453,867
		3/11/15	5,773,724	\$7,168,314

## **REMARKS**:

#### **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person

for whose account ATTENTION:

the securities are to be sold but also as to all other persons included in that definition. In addition. information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

March 12, 2015

person filing this

DATE OF **NOTICE** 

notice.

Federated Kaufmann Fund, a portfolio of Federated Equity Funds

By: Federated Global Investment Management Corp. as attorney-in-fact

/s/ Lawrence Auriana Lawrence Auriana Senior Vice President, Federated Global Investment Management Corp. (SIGNATURE)

DATE OF PLAN ADOPTION

The notice shall be signed by the person for whose account the securities are to be OR GIVING OF INSTRUCTION, sold. At least one copy of the notice shall

IF RELYING ON RULE 10B5-1

be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)