## Edgar Filing: STANSBURY HENRY TAYLOE - Form 4

STANSBURY HENRY TAYLOE Form 4 September 25, 2018

| FOR                           | ΜД  | -            |                  |  |                                   |              |  | OMB AP                                 | PROVAL                        |  |  |
|-------------------------------|---|--------------|------------------|--|-----------------------------------|--------------|--|--|-------------------------------|--|--|
|                               | VI -  | UNITED       | STATES           |  | S AND EXCHA<br>ton, D.C. 20549    | ANGE CC      | OMMISSION  | OMB<br>Number:                         | 3235-0287                     |  |  |
| Check if no lo                | this box  |              |                  |  |                                   |              | EDCUUD OF  | Expires:                               | January 31,<br>2005           |  |  |
| Section<br>Form 4<br>Form 5   | subject to<br>Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESForm 4 or<br>Form 5<br>subjectionsFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, |              |                  |  |                                   |              |  | Estimated a<br>burden hour<br>response | verage                        |  |  |
| may co                        |   | Section 17   |                  | •  | Holding Compan<br>eent Company Ac | •            |  |  |                               |  |  |
| (Print or Type                | e Respon  | ses)         |                  |  |                                   |              |  |  |                               |  |  |
| STANSBURY HENRY TAYLOE Symbol |   |              |                  |  | and Ticker or Tradi               |              | 5. Relationship of H<br>ssuer  | ationship of Reporting Person(s) to    |                               |  |  |
|                               |   |              |                  | INTUIT INC [INTU]<br>3. Date of Earliest Transaction |                                   |              | (Check all applicable)   |  |                               |  |  |
| (Last)<br>C/O INTU<br>AVENUE  | JIT INC   | ,<br>,       | (Middle)<br>DAST | 3. Date of Earlie<br>(Month/Day/Yea<br>09/21/2018    |                                   |              | Director<br>_X Officer (give t<br>below)<br>EVP, Chief                 |  | Owner<br>r (specify<br>fficer |  |  |
|                               | (S  | street)      |                  | 4. If Amendmen                                       |                                   | 6            | 5. Individual or Joi   | nt/Group Filin                         | g(Check                       |  |  |
| MOUNTA                        | AIN VII   | EW, CA 94    | 1043             | Filed(Month/Day/                                     | 'Year)                            | -            | Applicable Line)<br>_X_ Form filed by Or<br>Form filed by Mo<br>Person |  |                               |  |  |
| (City)                        | (5  | State)       | (Zip)            | Table I - No   | on-Derivative Secur               | rities Acqui | red, Disposed of,  | or Beneficiall                         | y Owned                       |  |  |
| 1.Title of                    |   | saction Date |                  |  | 4. Securities Ac                  | <b>.</b>     | 5. Amount of   | 6.<br>Ownership                        | 7. Nature of                  |  |  |

| Security<br>(Instr. 3) | (Month/Day/Year) | Execution Date, if<br>any<br>(Month/Day/Year) | Code<br>(Instr. 8) | (Instr. 3, 4 and 5)<br>8) |           | Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|------------------------|------------------|---|--------------------|---------------------------|-----------|--|--|---|--|
|                        |                  |   | Code V             | Amount                    | or<br>(D) | Price  | (Instr. 3 and 4)   |   |  |
| Common<br>Stock        | 09/21/2018       |   | M <u>(1)</u>       | 2,158                     | А         | \$ 113.19  | 4,048  | D   |  |
| Common<br>Stock        | 09/21/2018       |   | M <u>(1)</u>       | 1,493                     | А         | \$ 135.35  | 5,541  | D   |  |
| Common<br>Stock        | 09/21/2018       |   | S <u>(1)</u>       | 1,421                     | D         | \$<br>220.6362<br>(2)  | 4,120  | D   |  |
| Common<br>Stock        | 09/21/2018       |   | S <u>(1)</u>       | 1,600                     | D         | \$<br>221.9281<br>(3)  | 2,520  | D   |  |
|                        | 09/21/2018       |   | S <u>(1)</u>       | 630                       | D         |  | 1,890  | D   |  |

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| Common | \$       |
|--------|----------|
| Stock  | 222.6071 |
|        | (4)      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned |
|---|
| ( <i>e.g.</i> , puts, calls, warrants, options, convertible securities)       |

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number<br>onof Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Am<br>Underlying Sect<br>(Instr. 3 and 4) |                          |
|---|---|---|---|--|---|--|--------------------|--|--------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date Exercisable   | Expiration<br>Date | Title  | A<br>or<br>N<br>of<br>SI |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 113.19   | 09/21/2018                              |   | M <u>(1)</u>                           | 2,158   | 09/21/2018 <u>(5)</u>  | 07/20/2023         | Common<br>Stock  | 4                        |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 135.35   | 09/21/2018                              |   | M <u>(1)</u>                           | 1,493   | 09/20/2018 <u>(5)</u>  | 07/19/2024         | Common<br>Stock  | -                        |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |                               |       |  |  |
|---|---------------|-----------|-------------------------------|-------|--|--|
| 1   | Director      | 10% Owner | Officer                       | Other |  |  |
| STANSBURY HENRY TAYLOE<br>C/O INTUIT INC.<br>2700 COAST AVENUE<br>MOUNTAIN VIEW, CA 94043 |               |           | EVP, Chief Technology Officer |       |  |  |
| Signatures  |               |           |                               |       |  |  |
| /s/Elizabeth McBride, by power of attorney  |               | 09/25/20  |                               |       |  |  |
| **Signature of Reporting Person   |               | Date      |                               |       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected pursuant to a 10b5-1 trading plan adopted by the reporting person in December 2017.

This transaction was executed in multiple trades ranging from \$220.25 to \$221.21. The price reported above reflects the weighted average(2) sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades ranging from \$221.25 to \$222.22. The price reported above reflects the weighted average(3) sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades ranging from \$222.25 to \$223.20. The price reported above reflects the weighted average(4) sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(5) Represents latest vesting date for the options exercised.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.