

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

GUGGENHEIM ENHANCED EQUITY STRATEGY FUND

Form NSAR-B

December 23, 2013

PAGE 1
000 B000000 10/31/2013
000 C000000 0001267890
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 GUGGENHEIM ENHANCED EQUITY STRATEGY FUND
001 B000000 811-21455
001 C000000 6305053700
002 A000000 2455 CORPORATE WEST DRIVE
002 B000000 LISLE
002 C000000 IL
002 D010000 60532
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 GUGGENHEIM FUNDS INVESTMENT ADVISORS, LLC
008 B000001 A
008 C000001 801-000
008 D010001 LISLE
008 D020001 IL
008 D030001 60532
008 A000002 GUGGENHEIM PARTNERS INVESTMENT MANAGEMENT, LLC
008 B000002 S
008 C000002 801-000000
008 D010002 SANTA MONICA
008 D020002 CA
008 D030002 90401
010 A000001 RYDEX FUND SERVICES, LLC
010 B000001 801-000000
010 C010001 ROCKVILLE
010 C020001 MD
010 C030001 20850
011 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
011 B000001 8-000
PAGE 2
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10080
012 A000001 COMPUTERSHARE SHAREOWNERS SERVICES LLC
012 B000001 84-000000
012 C010001 COLLEGE STATION

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

012 C020001 TX
012 C030001 77842
013 A000001 ERNST & YOUNG LLP
013 B010001 CHICAGO
013 B020001 IL
013 B030001 60693
014 A000001 CHANNEL CAPITAL GROUP LLC
014 B000001 8-53692
014 A000002 SECURITY DISTRIBUTORS, INC.
014 B000002 8-10781
014 A000003 GUGGENHEIM INVESTOR SERVICES, LLC
014 B000003 8-44742
014 A000004 GUGGENHEIM SECURITIES, LLC
014 B000004 8-49107
014 A000005 GUGGENHEIM DISTRIBUTORS, LLC
014 B000005 8-49200
014 A000006 SAMMONS SECURITIES COMPANY, LLC
014 B000006 8-53482
014 A000007 GUGGENHEIM FUNDS DISTRIBUTORS, LLC
014 B000007 8-48842
014 A000008 SAMMONS FINANCIAL NETWORK, LLC
014 B000008 8-68905
014 A000009 GUGGENHEIM ENERGY ADVISORS, LLC
014 B000009 8-68983
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
015 E010001 X
015 A000002 ROYAL BANK OF CANADA
015 B000002 S
015 C010002 ONTARIO
015 D010002 CANADA
015 E040002 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 FGC SECURITIES
020 B000001 N/A
020 C000001 72
020 A000002 MORGAN STANLEY & CO. INC.
020 B000002 13-2655998
020 C000002 54
020 A000003 BANK OF AMERICA INTERNATIONAL
PAGE 3
020 B000003 N/A
020 C000003 49
020 A000004 BAYCREST PARTNER
020 B000004 UNKNOWN
020 C000004 45
020 A000005 SUSQUEHANNA FINANCIAL GROUP INC
020 B000005 23-2666961
020 C000005 36
020 A000006 JEFFRIES & COMPANY INC.
020 B000006 95-2622900
020 C000006 30
020 A000007 CITIGROUP GLOBAL MARKETS
020 B000007 N/A
020 C000007 15
020 A000008 NOMURA SECURITIES CO. LTD
020 B000008 13-2642206

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

020	C000008	15
020	A000009	MACRO RISK ADVISORS
020	B000009	26-2599497
020	C000009	11
020	A000010	BARCLAYS BANK PLC
020	B000010	06-1031656
020	C000010	17
021	000000	440
022	A000001	BNP PARIBAS
022	B000001	N/A
022	C000001	0
022	D000001	88000
022	A000002	EJ DE LA ROSA CO
022	B000002	N/A
022	C000002	0
022	D000002	240
022	C000003	0
022	D000003	0
022	C000004	0
022	D000004	0
022	C000005	0
022	D000005	0
022	C000006	0
022	D000006	0
022	C000007	0
022	D000007	0
022	C000008	0
022	D000008	0
022	C000009	0
022	D000009	0
022	C000010	0
022	D000010	0
023	C000000	303918
023	D000000	414953
024	000000	N
	PAGE	4
025	D000001	0
025	D000002	0
025	D000003	0
025	D000004	0
025	D000005	0
025	D000006	0
025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
	PAGE 5	
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.850
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 Y
 053 B000000 Y
 053 C000000 N
 054 A000000 Y
 054 B000000 Y
 PAGE 6
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 Y
 055 B000000 N
 056 000000 N
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 N
 066 D000000 N

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

066 E000000 N
 066 F000000 Y
 066 G000000 N
 067 000000 N
 068 A000000 N
 PAGE 7
 068 B000000 N
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 Y
 070 B020000 N
 070 C010000 Y
 070 C020000 N
 070 D010000 Y
 070 D020000 Y
 070 E010000 Y
 070 E020000 N
 070 F010000 Y
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 Y
 070 H020000 N
 070 I010000 Y
 070 I020000 N
 070 J010000 Y
 070 J020000 N
 070 K010000 Y
 070 K020000 Y
 070 L010000 Y
 070 L020000 N
 070 M010000 Y
 070 M020000 N
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 Y
 070 P010000 Y
 070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N
 071 A000000 821537
 071 B000000 845520
 071 C000000 126164
 071 D000000 651
 072 A000000 12
 072 B000000 0
 072 C000000 950
 072 D000000 0
 072 E000000 0
 072 F000000 1084
 072 G000000 35
 072 H000000 0
 072 I000000 18
 PAGE 8
 072 J000000 19
 072 K000000 0
 072 L000000 40
 072 M000000 69

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

072	N000000	24
072	O000000	0
072	P000000	305
072	Q000000	39
072	R000000	27
072	S000000	81
072	T000000	0
072	U000000	0
072	V000000	0
072	W000000	24
072	X000000	1765
072	Y000000	64
072	Z000000	-751
072AA	0000000	3246
072BB	0000000	0
072CC0	10000	7650
072CC0	20000	0
072DD0	10000	4345
072DD0	20000	0
072EE	0000000	4482
073	A010000	0.8700
073	A020000	0.0000
073	B000000	0.9000
073	C000000	0.0000
074	A000000	0
074	B000000	0
074	C000000	0
074	D000000	0
074	E000000	0
074	F000000	0
074	G000000	0
074	H000000	0
074	I000000	131704
074	J000000	0
074	K000000	0
074	L000000	32
074	M000000	27
074	N000000	131763
074	O000000	0
074	P000000	96
074	Q000000	32000
074	R010000	0
074	R020000	0
074	R030000	1694
074	R040000	201
074	S000000	0
074	T000000	97772
	PAGE	9
074	U010000	4994
074	U020000	0
074	V010000	19.58
074	V020000	0.00
074	W000000	0.0000
074	X000000	9818
074	Y000000	0
075	A000000	0
075	B000000	95563
076	000000	19.13
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

077 E000000 N
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 Y
077 Q020000 N
077 Q030000 N
078 000000 N
080 A000000 ICI MUTUAL INSURANCE COMPANY
080 C000000 6000
081 A000000 Y
081 B000000 7
082 A000000 N
082 B000000 0
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
PAGE 10
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 40167K100
087 A030000 GGE
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE JOHN SULLIVAN
TITLE CFO, CAO & TREASURER