

Edgar Filing: CATES LARRY A - Form 4

CATES LARRY A
Form 4
December 10, 2001

OMB APPROVAL

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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

[] Check this box if no longer subject of Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person

Cates Larry A.

(Last) (First) (Middle)
Applebee's International, Inc.
4551 W. 107th Street, Suite 100

(Street)

Overland Park KS 66207

(City) (State) (Zip)

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2. Issuer Name and Ticker or Trading Symbol

Applebee's International, Inc. - APPB

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3. IRS or Social Security Number of Reporting Person (Voluntary)

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4. Statement for Month/Year

11/01

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5. If Amendment, Date of Original (Month/Year)

N/A

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6. Relationship of Reporting Person to Issuer
(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

President of International Division

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Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Price
		Code	V	Amount	(A) or (D)	
Common Stock	11/05/01	M		6,940	A	16.7500
Common Stock	11/05/01	S		6,940	D	31.6000
Common Stock	11/21/01	M		10,000	A	16.7500
Common Stock	11/21/01	F		1,700	D	32.6000
Common Stock	11/21/01	S		8,300	D	32.6000
Common Stock						
Common Stock						

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly
 Explanation of Responses:

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

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(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- T V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
Employee Stock Option (right to buy)	16.7500	11/05/01	M	6,940	05/14/00 05/14/07	Common Stock 6,940
Employee Stock Option (right to buy)	16.7500	11/21/01	M	10,000	05/14/00 05/14/07	Common Stock 10,000

Explanation of Responses:

/s/Larry A. Cates

December 10, 2001

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedures.