

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

ELLSWORTH FUND LTD
Form NSAR-B
November 29, 2010

PAGE 1
000 B000000 09/30/2010
000 C000000 0000793040
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 ELLSWORTH FUND LTD.
001 B000000 811-04656
001 C000000 9736311177
002 A000000 65 MADISON AVENUE, SUITE 550
002 B000000 MORRISTOWN
002 C000000 NJ
002 D010000 07960
002 D020000 7308
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 DINSMORE CAPITAL MANAGEMENT CO.
008 B000001 A
008 C000001 801-08123
008 D010001 MORRISTOWN
008 D020001 NJ
008 D030001 07960
008 D040001 7308
010 A000001 DINSMORE CAPITAL MANAGEMENT CO.
010 B000001 801-08123
010 C010001 MORRISTOWN
010 C020001 NJ
010 C030001 07960
010 C040001 7308
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
PAGE 2
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 C040001 3661
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 MILLER TABAK ROBERTS SECURITIES
020 B000001 13-4036836
020 C000001 7
020 A000002 BANC OF AMERICA
020 B000002 56-0906609
020 C000002 5
020 A000003 LAZARD CAPITAL MARKETS
020 B000003 20-2343668
020 C000003 4
020 A000004 JEFFRIES & CO. INC.
020 B000004 95-2622900
020 C000004 1
020 A000005 MORGAN STANLEY & CO
020 B000005 13-2655998
020 C000005 1
020 A000006 CREDIT SUISSE SECURITIES
020 B000006 13-1898818
020 C000006 0
020 A000007 GOLDMAN SACHS & CO
020 B000007 13-5108880
020 C000007 0
020 A000008 OPPENHEIMER CO
020 B000008 13-5657518
020 C000008 0
020 A000009 CITIGROUP GLOBAL MARKETS
020 B000009 11-2418191
020 C000009 0
020 C000010 0
021 000000 18
022 A000001 CITIGROUP GLOBAL MARKETS
022 B000001 11-2418191
022 C000001 10548
022 D000001 3755
022 A000002 CREDIT SUISSE SECURITIES
022 B000002 13-1898818
022 C000002 3474
022 D000002 5528
PAGE 3
022 A000003 OPPENHEIMER CO. INC.
022 B000003 13-5657518
022 C000003 3858
022 D000003 4761
022 A000004 BANC OF AMERICA
022 B000004 56-0906609
022 C000004 3759
022 D000004 4309
022 A000005 DEUTSCHE BANK SECURITIES
022 B000005 13-2730828
022 C000005 5315
022 D000005 2034
022 A000006 GOLDMAN, SACHS & CO.
022 B000006 13-5108880
022 C000006 5438
022 D000006 1840

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

022 A000007 MILLER TABAK ROBERTS SECURITIES
022 B000007 13-4036836
022 C000007 1762
022 D000007 3168
022 A000008 BARCLAYS CAPITAL
022 B000008 06-1031656
022 C000008 2513
022 D000008 2283
022 A000009 JP MORGAN SECURITIES
022 B000009 13-2624428
022 C000009 3733
022 D000009 695
022 A000010 STIFEL, NICOLAUS
022 B000010 43-0538770
022 C000010 1346
022 D000010 1524
023 C000000 50077
023 D000000 38643
024 000000 Y
025 A000001 CITIGROUP GLOBAL MARKETS
025 B000001 11-2418191
025 C000001 E
025 D000001 1180
025 A000002 UBS SECURITIES
025 B000002 13-3873456
025 C000002 E
025 D000002 1120
025 D000003 0
025 D000004 0
025 D000005 0
025 D000006 0
025 D000007 0
025 D000008 0
025 A000009
026 A000000 N
026 B000000 Y
026 C000000 Y
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N
028 A010000 0
028 A020000 0
028 A030000 0
028 A040000 0
028 B010000 0
028 B020000 0
028 B030000 0
028 B040000 0
028 C010000 0
028 C020000 0
028 C030000 0
028 C040000 0
028 D010000 0
028 D020000 0
028 D030000 0
028 D040000 0
028 E010000 0
028 E020000 0

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

| | | |
|-----|---------|--------|
| 028 | E030000 | 0 |
| 028 | E040000 | 0 |
| 028 | F010000 | 0 |
| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| | PAGE | 5 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.750 |
| 048 | B010000 | 0 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.500 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

053 A000000 N
054 A000000 Y
054 B000000 Y
PAGE 6
054 C000000 Y
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 N
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 N
056 000000 N
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 Y
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 69.7
062 Q000000 0.0
062 R000000 23.5
063 A000000 0
063 B000000 3.0
064 A000000 Y
064 B000000 Y
065 000000 N
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 Y
066 F000000 N
PAGE 7
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

| | | | |
|-----|---------|----|-------|
| 070 | A020000 | N | |
| 070 | B010000 | Y | |
| 070 | B020000 | N | |
| 070 | C010000 | Y | |
| 070 | C020000 | N | |
| 070 | D010000 | Y | |
| 070 | D020000 | N | |
| 070 | E010000 | N | |
| 070 | E020000 | N | |
| 070 | F010000 | N | |
| 070 | F020000 | N | |
| 070 | G010000 | N | |
| 070 | G020000 | N | |
| 070 | H010000 | Y | |
| 070 | H020000 | N | |
| 070 | I010000 | N | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | N | |
| 070 | M020000 | N | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 59232 |
| 071 | B000000 | | 61125 |
| 071 | C000000 | | 95734 |
| 071 | D000000 | 62 | |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 3229 |
| 072 | C000000 | | 1362 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 752 |
| | PAGE | 8 | |
| 072 | G000000 | | 50 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 24 |
| 072 | J000000 | | 16 |
| 072 | K000000 | | 1 |
| 072 | L000000 | | 15 |
| 072 | M000000 | | 87 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 1 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 38 |
| 072 | S000000 | | 63 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

| | | |
|--------|---------|------------------------------------|
| 072 | W000000 | 97 |
| 072 | X000000 | 1144 |
| 072 | Y000000 | 0 |
| 072 | Z000000 | 3446 |
| 072AA | 000000 | 7422 |
| 072BB | 000000 | 2788 |
| 072CC0 | 10000 | 6681 |
| 072CC0 | 20000 | 0 |
| 072DD0 | 10000 | 4013 |
| 072DD0 | 20000 | 0 |
| 072EE | 000000 | 0 |
| 073 | A010000 | 0.3000 |
| 073 | A020000 | 0.0000 |
| 073 | B000000 | 0.0000 |
| 073 | C000000 | 0.0000 |
| 074 | A000000 | 4370 |
| 074 | B000000 | 0 |
| 074 | C000000 | 0 |
| 074 | D000000 | 73992 |
| 074 | E000000 | 18544 |
| 074 | F000000 | 6444 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 0 |
| 074 | J000000 | 3619 |
| 074 | K000000 | 0 |
| 074 | L000000 | 657 |
| 074 | M000000 | 31 |
| 074 | N000000 | 107657 |
| 074 | O000000 | 1384 |
| 074 | P000000 | 12 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| | PAGE | 9 |
| 074 | R040000 | 28 |
| 074 | S000000 | 0 |
| 074 | T000000 | 106233 |
| 074 | U010000 | 13360 |
| 074 | U020000 | 0 |
| 074 | V010000 | 7.95 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 570 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 99934 |
| 076 | 000000 | 7.17 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | Y |
| 078 | 000000 | N |
| 080 | A000000 | CHUBB GROUP OF INSURANCE COMPANIES |
| 080 | C000000 | 975 |
| 081 | A000000 | Y |
| 081 | B000000 | 2 |
| 082 | A000000 | Y |
| 082 | B000000 | 50 |
| 083 | A000000 | N |

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

| | | |
|-----|---------|-------------------------------|
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | SHARES OF BENEFICIAL INTEREST |
| 087 | A020000 | 28-9074106 |
| 087 | A030000 | ECF |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |

PAGE 10

SIGNATURE GARY LEVINE
TITLE EXEC. VP