

PIGOTT MARK C  
Form 4  
November 09, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
PIGOTT MARK C

(Last) (First) (Middle)  
777 106TH AVENUE NE  
(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
PACCAR INC [PCAR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/07/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Executive Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| COMMON STOCK                    | 11/07/2018                           |  | M                              | A   | \$ 30.81 3,080,449  | D  |   |
| COMMON STOCK                    | 11/07/2018                           |  | S                              | D   | \$ 58.7796 2,900,604<br>(1)   | D  |   |
| COMMON STOCK                    | 11/07/2018                           |  | S                              | D   | \$ 59.6436 2,886,445<br>(2)   | D  |   |
| COMMON STOCK                    |                                      |  |                                |   | 283,280   | I  | WIFE AND CHILDREN                                     |
|                                 |                                      |  |                                |   | 85,842.537  | D  |   |

COMMON  
STOCK  
(SIP) <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |          |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title   | An Nu Sh |
| STOCK OPTION <sup>(4)</sup>                | \$ 30.81   | 11/07/2018                           |  | M                              | 194,004   | 01/01/2012 02/06/2019                                    | COMMON STOCK  |          |
| STOCK OPTION <sup>(4)</sup>                | \$ 36.12   |                                      |  |                                |   | 01/01/2013 02/02/2020                                    | COMMON STOCK  |          |
| STOCK OPTION <sup>(4)</sup>                | \$ 50.5  |                                      |  |                                |   | 01/01/2014 02/03/2021                                    | COMMON STOCK  |          |
| STOCK OPTION <sup>(4)</sup>                | \$ 43.24   |                                      |  |                                |   | 01/01/2015 02/02/2022                                    | COMMON STOCK  |          |
| STOCK OPTION <sup>(4)</sup>                | \$ 47.81   |                                      |  |                                |   | 01/01/2016 02/06/2023                                    | COMMON STOCK  |          |
| STOCK OPTION <sup>(4)</sup>                | \$ 59.15   |                                      |  |                                |   | 01/01/2017 02/07/2024                                    | COMMON STOCK  |          |
| COMMON STOCK (LTIP) <sup>(5)</sup>         | <sup>(5)</sup>   |                                      |  |                                |   | <sup>(5)</sup> <sup>(5)</sup>                            | COMMON STOCK  | 4        |
| COMMON STOCK (DICP) <sup>(6)</sup>         | <sup>(6)</sup>   |                                      |  |                                |   | <sup>(6)</sup> <sup>(6)</sup>                            | COMMON STOCK  | 11       |
| COMMON STOCK (DCP) <sup>(7)</sup>          | <sup>(7)</sup>   |                                      |  |                                |   | <sup>(7)</sup> <sup>(7)</sup>                            | COMMON STOCK  | 3        |

## Reporting Owners

| Reporting Owner Name / Address                             | Relationships |           |                    |       |
|--|---------------|-----------|--------------------|-------|
|  | Director      | 10% Owner | Officer            | Other |
| PIGOTT MARK C<br>777 106TH AVENUE NE<br>BELLEVUE, WA 98004 | X             |           | Executive Chairman |       |

## Signatures

Mark C. Pigott by Irene E. Song  
POA 11/07/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price shown is a weighted average sale price for shares sold in multiple transactions; the sale prices ranged from 58.27 to 59.26 per share. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(2) The price shown is a weighted average sale price for shares sold in multiple transactions; the sale prices ranged from 59.32 to 59.83 per share. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(3) Shares held in PACCAR Savings Investment Plan (SIP).

(4) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).

(5) Share units held in deferred phantom stock account under LTIP convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.

(6) Share units held in deferred phantom stock account under PACCAR Deferred Incentive Compensation Plan (DICP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.

(7) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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