

PIGOTT MARK C
Form 4
July 30, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PIGOTT MARK C

(Last) (First) (Middle)
777 106TH AVENUE NE
(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PACCAR INC [PCAR]

3. Date of Earliest Transaction
(Month/Day/Year)
06/25/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
COMMON STOCK					2,886,445	D	
COMMON STOCK	06/25/2018		J ⁽¹⁾	V	1,308,892	D	Ⓛ 0
COMMON STOCK					283,280	I	WIFE AND CHILDREN
COMMON STOCK (SIP) ⁽²⁾					85,491.376	D	⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
STOCK OPTION ⁽⁴⁾	\$ 30.81					01/01/2012	02/06/2019	COMMON STOCK	194,0
STOCK OPTION ⁽⁴⁾	\$ 36.12					01/01/2013	02/02/2020	COMMON STOCK	134,4
STOCK OPTION ⁽⁴⁾	\$ 50.5					01/01/2014	02/03/2021	COMMON STOCK	92,83
STOCK OPTION ⁽⁴⁾	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	134,0
STOCK OPTION ⁽⁴⁾	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	108,7
STOCK OPTION ⁽⁴⁾	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	85,61
COMMON STOCK (LTIP) ⁽⁵⁾	⁽⁵⁾					⁽⁵⁾	⁽⁵⁾	COMMON STOCK	44,553
COMMON STOCK (DICP) ⁽⁶⁾	⁽⁶⁾					⁽⁶⁾	⁽⁶⁾	COMMON STOCK	111,038
COMMON STOCK (DCP) ⁽⁷⁾	⁽⁷⁾					⁽⁷⁾	⁽⁷⁾	COMMON STOCK	33,946

Reporting Owners

Reporting Owner Name / Address

Relationships

Edgar Filing: PIGOTT MARK C - Form 4

Director 10% Owner Officer Other

PIGOTT MARK C
777 106TH AVENUE NE
BELLEVUE, WA 98004

X

Executive Chairman

Signatures

Mark C. Pigott

07/30/2018

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Eascliffe Company redeemed all of the shares held by the Reporting Person, who is no longer a shareholder of Eascliffe Company.
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) Balance includes shares awarded under SIP (Company match) since date of last report in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- (4) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- (5) Share units held in deferred phantom stock account under LTIP convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (6) Share units held in deferred phantom stock account under PACCAR Deferred Incentive Compensation Plan (DICP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (7) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.