Plotkin Ben A Form 4 January 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Estimated average

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

12/29/2017

12/29/2017

Stock

Stock

Common

1. Name and Address of Reporting Person * Plotkin Ben A				2. Issuer Name and Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]					5. Relationship of Reporting Person(s) to Issuer			
	(Last)	(First)	(Middle)		f Earliest Ti	ransaction			(Check all applicable)			
787 7TH AVENUE				(Month/Day/Year) 12/29/2017					Director 10% Owner X Officer (give title Other (specify below) Vice Chairman and SVP			
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORK, NY 10019									Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Tabl	e I - Non-L	Perivative S	Securi	ties Acqu	uired, Disposed of	, or Beneficial	ly Owned	
	1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execution	med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securiti or(A) or Dis (Instr. 3, 4	posed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common	12/20/2017			M	12 /153	Δ	\$0	11/1181	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

F

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SEC 1474

(9-02)

114,181

110,740

D

D

\$0

12,453 A

3,441

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. Number of torDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	12/29/2017	M		12,453	<u>(1)</u>	(2)	Common Stock	12,453	9

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Plotkin Ben A 787 7TH AVENUE NEW YORK, NY 10019

Vice Chairman and SVP

Signatures

Ben A. Plotkin 01/03/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Currently convertible.
- (2) No expiration date for these Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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