Howes Paul L Form 4 June 05, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Howes Paul L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

NEWPARK RESOURCES INC

[NR]

\_X\_ Director 10% Owner

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Last) (First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 06/01/2018

X\_ Officer (give title Other (specify below) President and CEO

9320 LAKESIDE **BOULEVARD, SUITE 100** 

> (Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

THE WOODLANDS, TX 77381

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative :	Secur	ities Acqu	iired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	06/01/2018		Code V M	Amount 45,379	(D)	Price \$ 0	608,198	D	
Common Stock	06/01/2018		F	17,856 (1)	D	\$ 10.85	590,342	D	
Common Stock	06/01/2018		M	52,885	A	\$ 0	643,227	D	
Common Stock	06/01/2018		F	20,810 (1)	D	\$ 10.85	622,417	D	
Common Stock	06/01/2018		M	83,240	A	\$ 0	705,657	D	

#### Edgar Filing: Howes Paul L - Form 4

Common Stock	06/01/2018	F	32,754 (1)	D	\$ 10.85	672,903	D	
Common Stock						25,000	I	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

De Se	Title of erivative occurity astr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II S (
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
St	estricted lock nits	\$ 0 (2)	06/01/2018		M	45,379	<u>(3)</u>	(3)	Common Stock	45,379	
St	estricted lock nits	\$ 0 (2)	06/01/2018		M	52,885	(3)	<u>(3)</u>	Common Stock	52,885	
St	estricted cock nits	\$ 0 (2)	06/01/2018		M	83,240	(3)	(3)	Common Stock	83,240	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Howes Paul L 9320 LAKESIDE BOULEVARD SUITE 100 THE WOODLANDS, TX 77381	X		President and CEO					

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## **Signatures**

By: Jennifer F. Wilson For: Paul L. Howes 06/05/2018

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Represents shares withheld to satisfy tax withholding obligations upon the vesting of restricted shares.
- (2) Restricted stock units convert into common stock on a one-for-one basis.
- (3) The restricted stock units vest in increments of one-third of the shares on June 1 of each year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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