

FIRST MERCHANTS CORP

Form 4

March 14, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
HOY WILLIAM L

(Last) (First) (Middle)

200 E JACKSON STREET

(Street)

MUNCIE, IN 47305

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
FIRST MERCHANTS CORP
[FRME]

3. Date of Earliest Transaction
(Month/Day/Year)
03/13/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	
Common Stock	03/13/2017		M		1,157	A	\$ 18.67	25,609 D
Common Stock	03/13/2017		M		1,500	A	\$ 8.58	27,109 D
Common Stock	03/13/2017		M		1,500	A	\$ 8.37	28,609 D
Common Stock	03/13/2017		M		1,500	A	\$ 9.02	30,109 D
Common Stock	03/13/2017		S		1,157	D	\$ 39.92	28,952 D

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Common Stock	03/13/2017	S	1,500	D	\$ 39.92	27,452	D
Common Stock	03/13/2017	S	1,500	D	\$ 39.92	25,952	D
Common Stock	03/13/2017	S	1,500	D	\$ 39.92	24,452 ⁽¹⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Non-Employee Director Stock Option (right to buy)	\$ 18.67	03/13/2017		M	1,157	01/01/2009 07/01/2018	Common Stock
Non-Employee Director Stock Option (right to buy)	\$ 8.58	03/13/2017		M	1,500	01/01/2010 07/01/2019	Common Stock
Non-Employee Director Stock Option (right to buy)	\$ 8.37	03/13/2017		M	1,500	01/01/2011 07/01/2020	Common Stock
Non-Employee Director Stock Option (right to buy)	\$ 9.02	03/13/2017		M	1,500	01/01/2012 07/01/2021	Common Stock

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOY WILLIAM L 200 E JACKSON STREET MUNCIE, IN 47305	X			

Addison Nicoll (Confirming Statement on File)

03/14/2017

****Signature of Reporting Person**

Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes Restricted Stock Awards totaling 5,472 shares

Remarks:

Exhibit 24; Confirming Statement

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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