Edgar Filing: FIRST PACTRUST BANCORP INC - Form 4

FIRST PACTRUST BANCORP INC Form 4 March 14, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MAJORS ALVIN L Issuer Symbol FIRST PACTRUST BANCORP INC (Check all applicable) [FPTB] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) 610 BAY BOULEVARD 03/14/2007 Chairman (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting CHULA VISTA, CA 91910 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) Owned Indirect (I) Ownership (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 03/14/2007 Р 500 А 51,398 Ι By Trust Stock 26.25 Common 03/14/2007 Ρ 400 I By Trust 51,798 A 26.26 Stock Common Р 03/14/2007 100 Ι By Trust Α 51,898 26.24 Stock Common 4,348 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)				8. P. Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option ("Right to Purchase")	\$ 17.19					<u>(1)</u>	04/24/2013	Common Stock	20,000	
Employee Stock Option ("Right to Purchase")	\$ 20.29					<u>(2)</u>	04/21/2014	Common Stock	6,450	

Reporting Owners

Reporting Owner Name / Address	Relationships						
I B	Director	10% Owner	Officer	Other			
MAJORS ALVIN L 610 BAY BOULEVARD CHULA VISTA, CA 91910	Х		Chairman				
Signatures							
/s/ James P. Sheehy, power of attorney	03/14/2007						
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Option vests in five equal annual installments beginning on April 24, 2004.
- (2) The Option vests in five equal annual installments beginning on April 21, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. k">

By:/s/ Kevin D. Miller Kevin D. Miller Chief Financial Officer, Treasurer and Secretary

Dated: August 13, 2012

2

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EXHIBIT INDEX

Exhibit NumberExhibit Title99Press Release by the Registrant, dated August 13, 2012, furnished in
accordance with Item 2.02 of this Current Report on Form 8-K.

3