GRIFFON CORP Form 3 November 28, 2016 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION ON Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB 3235-0104 Number: January 31, 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Title (Instr. 4

1. Name and Address of Reporting Person <u>*</u> Durborow W. Christopher	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol GRIFFON CORP [GFF]			
(Last) (First) (Middle) C/O GRIFFON CORPORATION, 712 FIFTH AVENUE (Street) NEW YORK, NY 10019		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% X Officer Other (give title below) (specify below VP, Controller and CA	Filed(Month/Day/Year) Owner 6. Individual or Joint/Group Own Filing(Check Applicable Line)		
(City) (State) (Zip)	Table I - N	on-Derivative Securiti	Form filed by More than One Reporting Person es Beneficially Owned		
1.Title of Security (Instr. 4)	2. Amount of Beneficially ((Instr. 4)	Securities 3.	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
information cont required to respo	ach class of securities beneficia pond to the collection of ained in this form are not ond unless the form displa MB control number.	SEC 1473 (7-02)		

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

e of Derivative Security 4)	y 2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

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Shares	or Indirect
	(I)
	(Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Durborow W. Christopher C/O GRIFFON CORPORATION 712 FIFTH AVENUE NEW YORK, NY 10019	Â	Â	VP, Controller and CAO	Â	
Signatures					
/s/ Seth L. Kaplan, as attorney-in-fact	11	1/28/2016			
**Signature of Reporting Person		Date			
Explanation of Resp	pons	es:			

No securities are beneficially owned

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.