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GENERAL AMERICAN INVESTORS CO INC

Form 5 February 13, 2014 OMB APPROVAL FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person ^{*} 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Priest Jeffrey W Symbol GENERAL AMERICAN (Check all applicable) **INVESTORS CO INC [GAM]** (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2013 President & CEO GENERAL AMERICAN INVESTORS COMPANY. INC., 100 PARK AVENUE -35TH FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) NEW YORK, NYÂ 10017 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Owned at end Direct (D) Ownership (Month/Day/Year) (Instr. 8) of Issuer's or Indirect (Instr. 4) Fiscal Year (\mathbf{I}) (A) (Instr. 3 and (Instr. 4) or 4) Amount (D) Price By Thrift Common Â 12/31/2013 $\mathbf{J}^{(1)}$ 2,212 А \$ <u>(1)</u> 6,370 Ι Plan Trust Stock (2) Common Â Â 01/31/2013 **J**(3) \$ 29.06 31.478 637 Α D Stock Â **J**(3) Â 12/31/2013 1.881 Α 33,359 D

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Common Stock						\$ 34.475			
Common Stock	01/31/2013	Â	J <u>(3)</u>	45	А	\$ 29.06	4,220	Ι	By Parent (4)
Common Stock	12/30/2013	Â	J <u>(3)</u>	253	А	\$ 34.475	4,473	I	By Parent (4)
Common Stock	01/31/2013	Â	J <u>(3)</u>	220	A	\$ 29.06	17,426	Ι	By Power of Attorney (4)
Common Stock	12/30/2013	Â	J <u>(3)</u>	1,050	A	\$ 34.475	18,476	Ι	By Power of Attorney (4)
5.95% Preferred Stock	Â	Â	Â	Â	Â	Â	1,739	I	By Parent
5.95% Preferred Stock	Â	Â	Â	Â	Â	Â	13,121	Ι	By Power of Attorney (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and ant of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address

Relationships

	Director	10% Owner	Officer	Other
t Jeffrey W ERAL AMERICAN INVESTORS COMPANY, INC. PARK AVENUE - 35TH FLOOR V YORK, NY 10017	Â	Â	President & CEO	Â
natures				

Sig

Pries GEN 100 NEV

/s/Jeffrey W. 02/13/2014 Priest

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The securities reported herein were acquired on a periodic basis in open market transactions at the prevailing market prices pursuant to (1) the Issuer's Employees' Thrift Plan. The information is based upon a statement as of 12/31/2013 issued by the Plan Administrator.
- (2) By Issuer's Employees' Thrift Plan Trust. The undersigned disclaims any beneficial interest in these shares.
- (3) Shares received in payment of dividends.
- (4) Mr. Priest has voting and dispositive power and disclaims beneficial interest.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.