

SEARS ROEBUCK & CO  
Form DEFA14A  
March 26, 2002

**SCHEDULE 14A INFORMATION**  
**Proxy Statement Pursuant to Section 14(a) of the**  
**Securities Exchange Act of 1934**

Filed by the registrant

Filed by a party other than the registrant

Check the appropriate box:

Preliminary proxy statement

Confidential, for Use of the Commission  
Only  
(as permitted by Rule 14a-6(e)(2))

Definitive proxy statement

Definitive additional materials

Soliciting material pursuant to Rule 14a-12

**SEARS, ROEBUCK AND CO.**

(Name of Registrant as Specified in Its charter)

\_\_\_\_\_  
(Name of Person(s) filing Proxy Statement, if other than the Registrant)

Payment of filing fee (Check the appropriate box):

No fee required.

Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

1. Title of each class of securities to which transaction applies:

\_\_\_\_\_  
2. Aggregate number of securities to which transaction applies:

\_\_\_\_\_  
3. Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11  
(Set forth the amount on which the filing fee is calculated and state how it was determined):

\_\_\_\_\_  
4. Proposed maximum aggregate value of transaction:

\_\_\_\_\_  
5. Total fee paid:

\_\_\_\_\_

Fee paid previously with preliminary materials.

\_\_\_\_\_

Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify  
the filing for which the offsetting fee was paid previously. Identify the previous filing by  
registration statement number, or the form or schedule and the date of its filing.

6. Amount previously paid:

\_\_\_\_\_

7. Form, schedule or registration statement no.:

\_\_\_\_\_

8. Filing party:

\_\_\_\_\_

9. Date filed:

\_\_\_\_\_

\*\*\*\*\*

## On-line Voting Script

[ProxyVote graphic]

# WELCOME TO PROXYVOTE.COM

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**Please select one of the links below...**

If you received your proxy material in the mail, please have your material and your control number ready.

If you received a notification via e-mail, please have your control number and Personal Identification Number ready.

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To submit your voting instructions over our secure site, click [HERE](#).

If your browser cannot support secure transactions via SSL encryption, click [HERE](#).

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Need to update to a security enabled browser? Click [HERE](#).

[Privacy Statement](#)

ProxyVote

[ProxyVote graphic]

## You can submit your proxy voting instructions right over the Internet

It's fast, convenient, and your voting instructions are immediately posted.

### If you received notification by postal mail:

1. Read the Proxy Statement. The accompanying Voting Instruction Form or Proxy Card contains your Control Number.
2. Enter the 12 digit Control Number to access an electronic ballot.
3. Complete the electronic ballot and submit your voting instructions.
4. Provide your E-Mail address if you want confirmation of your voting instructions.

### If you received notification by E-Mail:

1. To access an electronic ballot, enter the 12 digit Control Number contained in your E-Mail message and the PERSONAL IDENTIFICATION NUMBER (PIN) you used when you enrolled for electronic delivery.
2. The ballot displayed contains Internet Links to the applicable materials; read them carefully.
3. Complete the ballot and submit your voting instructions.

Enter your CONTROL NUMBER: (Please skip any spaces)

Enter your PERSONAL IDENTIFICATION NUMBER (PIN): (Required for the E-Mail option only)

Check this box if you have forgotten your PIN and wish to have it emailed to the address on record.

If the control number you enter requires a PIN, checking the above box will result in the PIN being emailed to you regardless of what you may enter as PIN and will not display the ballot. If no PIN is required, checking the above box will have no effect.

[Click to Continue](#)

Need to update your browser or download the Adobe Acrobat<sup>(R)</sup> Reader? [CLICK HERE](#)

[Privacy Statement](#)

[ProxyVote](#)

[\[Sears, Where Else? 2002 Annual Meeting of Shareholders \[link\]](#) Click here to vote your proxy

[\[link\]](#) view Annual Report   [\[link\]](#) Proxy Statement graphic]

## **Sears, Roebuck and Co. 2002 Annual Meeting of Shareholders**

**Thursday, May 9, 2002  
10:00 AM  
(CDT)**

**Merchandise Review Center  
Sears, Roebuck and Co.  
3333 Beverly Road  
Hoffman Estates, Illinois**

Scroll down for proxy  
information and voting

[\[arrow graphic\]](#)

### **Sears, Roebuck and Co. 2002 Annual Meeting of Shareholders PROXY**

**This Proxy is Solicited on Behalf of the Board of Directors of Sears, Roebuck and Co.**

The Shareholder submitting this Proxy ("Shareholder"), revoking any proxy previously given, hereby appoint(s) Hall Adams, Jr., Warren L. Batts, Donald J. Carty and Hugh B. Price, and each of them, as proxies with full powers of substitution, to vote, as

You can submit your proxy voting instructions right over the Internet

directed below, all shares the Shareholder is entitled to vote at the 2002 Annual Meeting of Shareholders of Sears, Roebuck and Co. and authorizes each proxy to vote at his discretion on any other matter that may properly come before the meeting or at any adjournment of the meeting.

This proxy also provides voting instructions for any Sears common shares held on the Shareholder's behalf in the Sears 401(k) Savings Plan and your Sears Associate Stock Purchase Plan brokerage account.

[Sears, Where Else? graphic]

**Sears, Roebuck and Co.**  
**2002 Annual Meeting of Shareholders**  
 To be held on 05/09/2002 for holders as of 03/12/2002

This proxy, when properly executed, will be voted in the manner directed herein and in the discretion of the proxy holders on all other matters properly coming before the meeting. **If no direction is made, this proxy will be voted FOR all of the Board of Directors' nominees, FOR proposals 2 and 3 and AGAINST proposals 4, 5 and 6, except for any shares the undersigned holds in the Sears 401(k) Savings Plan, which will be voted according to the 401(k) Plan rules, and in his or her Sears Associate Stock Purchase plan brokerage account, which will not be voted.**

The Board of Directors recommends a vote FOR proposals 1, 2 and 3.

Proposal 1: **Election of Directors.**

**The nominees for election to the Board of Directors are:**

**(01)** Brenda C. Barnes, **(02)** Michael A. Miles, **(03)** Dorothy A. Terrell, and **(04)** Raul H. Yzaguirre

For all nominees                       Withhold all nominees  
 For all EXCEPT those  
 selected below:

|  |   |   |
|--|---|---|
| <b>(01)</b> <input type="checkbox"/> Brenda C. Barnes  | <b>(02)</b> <input type="checkbox"/> Michael A. Miles | <b>(03)</b> <input type="checkbox"/> Dorothy A. Terrell |
| <b>(04)</b> <input type="checkbox"/> Raul H. Yzaguirre |   |   |

Proposal 2: **Appointment of Deloitte & Touche LLP as independent auditors for the year 2002.**

For       Against       Abstain

Proposal 3: **Approval of the 2002 Non-Employee Director Stock Plan**

For       Against       Abstain

**The Board of Directors recommends a vote AGAINST proposals 4, 5 and 6.**

Proposal 4: **Shareholder proposal regarding vendor standards.**

For       Against       Abstain

Proposal 5: **Shareholder proposal regarding declassification of the Board.**

You can submit your proxy voting instructions right over the Internet

For     Against     Abstain

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Proposal 6: **Shareholder proposal regarding poison pill.**

For     Against     Abstain

I will attend the Annual Meeting.

[Sears, Where Else? Annual Meeting of Shareholders graphic]

|  | <b>ERROR</b>   |
|--|--|
| <p><b>Sears, Roebuck and Co.</b><br/><b>2002 Annual Meeting of Shareholders</b></p> <p><b>Thursday, May 9, 2002</b><br/>10:00 AM<br/>(CDT)</p> <p><b>Merchandise Review Center</b><br/><b>Sears, Roebuck and Co.</b><br/>3333 Beverly Road<br/>Hoffman Estates, Illinois</p> | <p>The following was reported by<br/>the ProxyVote server:</p> |

[Sears, Where Else? Annual Meeting of Shareholders graphic]

|  |   |
|--|---|
| <p><b>Sears, Roebuck and Co.</b></p> <p><b>2002 Annual Meeting of Shareholders</b></p> | <p><b>PROXY FINAL SUBMISSION</b></p> <p>Please check all of the information below for<br/>accuracy.</p> <p>See instructions below and click on Final<br/>Submission</p> |
|--|---|

**Thursday, May 9, 2002**

**10:00 AM**

**(CDT)**

**Merchandise Review Center**

**Sears, Roebuck and Co.**

**3333 Beverly Road**

**Hoffman Estates, Illinois**

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**Your Control Number:**

[Sears, Where Else? graphic]

**Sears, Roebuck and Co.**

**2002 Annual Meeting of Shareholders**

**To be held on 05/09/2002 for holders as of 03/12/2002**

**CUSIP 812387108**

**Proposals**

**1. Election of Directors**

You voted:

**2. Appointment of Deloitte & Touche LLP as independent auditors for the year 2002.**

You voted:

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**3. Approval of the 2002 Non-Employee Director Stock Plan**

You voted:

---

4. Shareholder proposal regarding vendor standards.

You voted.

---

**5. Shareholder proposal regarding declassification of the Board.**

You voted.

---

6. Shareholder proposal regarding poison pill.

You voted.

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If any of the above information is incorrect, return to the Proxy Ballot form by using the **Back** button of your Browser.

If you would like to receive an electronic confirmation when this vote is recorded, enter your e-mail address here:

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If all of the above information is correct then click on **Final Submission** below. If any of the above information is incorrect, return to the Proxy Ballot form by using the **Back** button of your Browser.

[Final Submission](#)

[Sears, Where Else? 2002 Annual Meeting of Shareholders graphic]

**Sears, Roebuck and Co.**  
2002 Annual Meeting of Shareholders

Thursday, May 9, 2002  
10:00 AM  
(CDT)

**Merchandise Review Center**  
**Sears, Roebuck and Co.**  
3333 Beverly Road  
Hoffman Estates, Illinois

**THANK YOU FOR VOTING!**

To enter your next Control  
Number [Click Here](#)

[Click Here](#) to see how you can  
receive future proxy materials  
from Sears, Roebuck and Co.  
electronically.



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## Telephone Voting Script

**Dialogue  
Number**

- 1**
- Dialogue**  
**Hello. Thank you for calling the Telephone Proxy Voting Service.**
- w Go to dialogue #2.**
- 2**
- Press one if you are calling from a touch-tone phone and have your Proxy Form in front of you.**  
**F Enter '1'**
- w Go to dialogue #3.**  
**F Enter *invalid* response. "Please call back when you have your Proxy Form. Thank you for calling the Telephone Proxy Voting Service. This concludes your transaction."**
- w Disconnect.**
- G When an *invalid response* of more than 1 digit is entered, the caller is disconnected without a message.**
- F Enter *nothing*. "Please call back when you have your Proxy Form. Thank you for calling the Telephone Proxy Voting Service. This concludes your transaction."**
- w Disconnect.**
- 3**
- Let's Begin.**
- w Go to dialogue #4.**

4

**Please enter the Control Number located on your Proxy Ballot.**

**F** Enter **12 valid Control Number digits** during or after dialogue #s 3 & 4.

**w** **Go to dialogue #5.**

**F** Enter **12 invalid Control Number digits** during or after

dialogue #s 3 & 4. "Sorry, your Control Number is invalid."

**w** **Go to dialogue #4.**

- **A third invalid** attempt triggers the 'Sorry Problems' message and disconnects -.

**F** Enter **1 to 11 invalid digits/characters** during or after

dialogue #s 3 & 4. "Sorry, your input was invalid."

**w** **Go to dialogue #4**

- **A third invalid** attempt triggers the 'Sorry Problems' message and disconnects -.

**F** Enter **nothing**. "I have not received your response."  
**or**

"Sorry, your input was invalid."

**w** **Go to dialogue #4.**

- **A third no response** triggers the 'Sorry Problems' message and disconnects -.

5

**Thank you.**  
**When vote is in advance of the meeting date ...**

**w** **Go to dialogue #6.**

**or**

**When vote is on the day of or passed the meeting date...**

"Your vote cannot be accepted. It is the day of the meeting or the vote is late."

**w** **Go to dialogue #4.**

6

If you elect to vote as the Board of Directors recommends, press one. If you elect to vote on directors and proposals individually, press two.  
F Enter '1'.

w Go to dialogue #24.

F Enter '2'.

w Go to dialogue #7.

F Enter ***nothing*** or an ***invalid*** response. "I have not received your response." or "Sorry, your input was invalid."

w Go to dialogue #6.

- A third ***no response*** or ***invalid response*** triggers the 'Sorry Problems' message and disconnects - .

*Dialogues numbers 7 through 15 and 19 are only reached when there are nominees to vote on - and caller elects to vote on directors and proposals individually.*

7

**There are zero nominees for this proxy vote.**  
w Go to dialogue #16.

or

If you wish to vote for all nominees, press one.  
To withhold all nominees, press two.  
To withhold specific nominees, press three.

F Enter '1'.

w Go to dialogue #8.

F Enter '2'.

w Go to dialogue #9.

F Enter '3'.

Go to dialogue #10.

F Enter ***nothing*** or an ***invalid*** response. "I have not received your response." or "Sorry, your input was invalid."

w Go to dialogue #7.

- A third no response or invalid response  
triggers the 'Sorry Problems' message and  
disconnects - .

**8**                    **You have voted for all nominees. If this is correct, press one. If this is not correct, press two.**

F Enter '1'.

w **Go to dialogue #16.**

F Enter '2'.

w **Go to dialogue #7.**

F Enter ***nothing*** or an ***invalid*** response. "I have not received your response." or "Sorry, your input was invalid."

w **Go to dialogue #8.**

- A third no response or invalid response  
triggers the 'Sorry Problems' message and  
disconnects - .

**9**                    **You have voted to withhold all nominees. If this is correct, press one. If this is not correct, press two.**

F Enter '1'.

w **Go to dialogue #16.**

F Enter '2'.

w **Go to dialogue #7.**

F Enter ***nothing*** or an ***invalid response***. "I have not received your response." or "Sorry, your input was invalid."

w **Go to dialogue #9.**

- A third no response or invalid response  
triggers the 'Sorry Problems' message and  
disconnects - .

- 10                    **You have chosen to withhold Specific Nominees.  
w Go to dialogue #11.**
- 11                    **Enter the two digit number that is in front of the  
name of  
the nominee you wish to withhold. If you are  
finished  
enter zero zero.**  
F Enter ***valid*** two digit number between 1 and 10.  
  
w **Go to dialogue #12.**  
  
F Enter ***valid*** two digit number between 1 and 10 *that  
was  
previously entered - therefore a duplicate nominee  
number.*  
  
w **Go to dialogue #13.**  
  
F Enter '00'.  
  
w **Go to dialogue #16.**  
  
F Enter ***invalid*** digit(s).  
  
w **Go to dialogue #14.**  
  
F Enter ***nothing***.  
  
w **Go to dialogue #16.**
- 12                    **You have entered Nominee Number. . . . If this is  
correct, press one. If this is not correct, press two.**  
  
F Enter '1'.  
  
w **Go to dialogue #15.**  
  
F Enter '2'.  
  
w **Go to dialogue #15.**  
  
F Enter ***nothing*** or an ***invalid response***. "I have not  
received your response." or "Sorry, your input was  
invalid."  
  
w **Go to dialogue #12.** -- (Only "if ... correct, press  
one - If ... not correct, press two" is repeated.)  
  
- A third ***no response*** or ***invalid  
response***

triggers the 'Sorry Problems' message and disconnects - .

- 13                    **You have already selected Nominee Number ...**  
                          **w Go to dialogue #15.**
- 14                    **Your entry was invalid. The Nominee Number must be**  
                          **between one and ten.**  
  
                          **w Go to dialogue #15.**
- 15                    **If you wish to withhold another nominee, enter the two**  
                          **digit number that is in front of the name of the nominee**  
                          **you wish to withhold. If you are finished, enter zero zero.**  
  
                          **F Enter valid two digit number between 1 and 10 to**  
                          **withhold another nominee.**  
  
                          **w Go to dialogue #12.**  
  
                          **F Enter valid two digit number between 1 and 10 that**  
                          **was**  
                          ***previously entered - therefore a duplicate nominee***  
                          ***number..***  
  
                          **w Go to dialogue #13.**  
  
                          **F Enter '00'.**  
  
                          **w Go to dialogue #16.**  
  
                          **F Enter invalid nominee number.**  
  
                          **w Go to dialogue #14.**  
  
                          **F Enter nothing.**  
  
                          **w Go to dialogue #16.**
- 16                    **Proxy Voting continues with Proposal Voting.**  
                          **w Go to dialogue #17.**
- 17                    **We are ready to accept your vote for Proposal**  
                          **...**  
                          **w Go to dialogue #18.**

**18**                    **If you are voting for this proposal, press one. If you are voting against this proposal, press two. If you wish to abstain, press three.**

F Enter '1', '2', '3', etc. (proposal numbers may vary) to vote on a specific proposal..

w **Go to dialogue #17 to vote on another proposal.**

w **Go to dialogue #19 after all proposals are voted.**

F Enter ***nothing*** or an ***invalid response***. "I have not received your response." or "Sorry, your input was invalid."

w **Go to dialogue #17.**

- A third ***no response*** or ***invalid response*** triggers the 'Sorry Problems' message and disconnects - .

**19**                    **When there are no nominees . . .**  
w **Go to dialogue #20.**

**When there are are nominees . . .**

**You have voted for all Nominees.**

**or**

**You have voted to withhold all Nominees**

**or**

**You have voted to withhold Nominee . . . .**

w **Go to dialogue #20.**

**20**                    **You have voted *for* Proposal . . . .**

**21**                    **You have voted *against* Proposal . . . .**

**22**                    **You have voted to *abstain* from Proposal . . . .**  
F Dialogues 20, 21, and 22 are repeated until all proposal votes are verified.

w Go to dialogue #23.

23

**If this is correct, press one. if this is not correct, press two. If you would like your vote repeated to you, press three.**

F Enter '1'. "A vote has been recorded for Control Number . . . . ."

w Go to dialogue #26.

F Enter '2'.

w Go to dialogue #6.

- A third entry of this response triggers the 'Too Many Incorrect' message, followed by the 'Sorry Problems' message and disconnects - .

F Enter '3'.

w Go to dialogue #19.

F Enter ***nothing*** or an ***invalid response***. "I have not received your response." or "Sorry, your input was invalid."

w Go to dialogue #19, 20, 21, 22.

- A third ***no response*** or ***invalid response*** triggers the 'Sorry Problems' message and disconnects - .

24

**You have elected to vote as the Board of Directors has recommended.**

w Go to dialogue #25.

25

**Recommended elections include a vote to approve all proposed nominees and a vote for proposals zero two, zero three and a vote against zero four, zero five and zero six. If this is correct, press one. If this is not correct, press two. If you would like your vote repeated to you, press three.**



F Enter '1'. "A vote has been recorded for  
Control Number . . . . ."

w Go to dialogue #26.

F Enter '2'.

w Go to dialogue #6.

- A third entry of this response triggers  
the 'Too Many Incorrect' message,  
followed  
by the 'Sorry Problems' message and  
disconnects - .

F Enter '3'.

w Go to dialogue #24.

F Enter *nothing* or an *invalid response*. "I have not  
received your response." or "Sorry, your input was  
invalid."

w Go to dialogue #24.

- A third *no response* or *invalid  
response*  
triggers the 'Sorry Problems' message and  
disconnects -

26

A vote has been recorded for Control Number \_\_\_\_\_.  
If this concludes your business, press one. If you would  
like to vote for another Proxy Election, press two.  
F Enter '1'.

w Go to dialogue #27.

F Enter '2'.

w Go to dialogue #27.

F Enter *nothing* or *invalid*. "I have not received  
your response." or "Sorry, your input was invalid."

w Go to dialogue #26.

- A third *no response* or *invalid  
response*  
triggers the 'Sorry Problems' message and  
disconnects -

27

**All of your votes have been recorded by the Telephone Proxy Voting Service. Do not mail in your proxy card. Keep it as a record of your vote.**

w **Go to dialogue #4 - if voting for another Proxy Election** based on voter's response to dialogue #26.

w **Go to dialogue #28 - if not voting for another Proxy Election** based on voter's response to dialogue #26.

28

**Thank you for calling the Telephone Proxy Voting Service. This concludes your transaction.**

w **Disconnect.**

**[STANDARD DIALOGUES REPEATED UNDER VARIOUS CONDITIONS]**

**TYPE OF DIALOGUE**

**INSTRUCTION**

**Sorry Problems** We are sorry you are experiencing problems entering your vote. Please call later and try again.  
G **NOTE**

**The "Sorry Problems" dialogue is generally repeated after any combination of three invalid responses or non responses to a set of dialogues. This is followed by a disconnection.**

**Too Many Incorrects** You have made too many incorrect entries. Please call back when you have the correct information.

**Invalid Input** Sorry, your input was invalid.  
G **NOTE**

**The "Too Many Incorrects" dialogue is generally repeated when there are several changes and inconsistencies responding to a set of dialogues. This is followed by the "Sorry Problems" dialogue and a disconnection.**

**Pause ..5** [ 500 ms of silence] (Amount of pause time permitted between caller's vote and continuation of telephone dialogue.)

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## E-mail Notification

PROXYVOTE.COM

You elected to receive Sears shareholder communications and submit voting instructions via the Internet. This e-mail notification provides information you will need to view the material described below online, vote your shares and print a copy of the materials.

Please read the instructions carefully before proceeding.

This is a NOTIFICATION of the:

2002 SEARS, ROEBUCK AND CO. ANNUAL MEETING OF SHAREHOLDERS

Meeting Date: May 9, 2002

For Holders as of: March 12, 2002

CUSIP NUMBER: 812387108

ACCOUNT NUMBER:

CONTROL NUMBER:

You can view the Sears 2001 Annual Report to Shareholders and the notice and proxy material relating to the annual meeting at the following Internet sites:

ANNUAL REPORT  
URL ADDRESS

PROXY MATERIAL  
URL ADDRESS

To view these documents, you may need the Adobe Acrobat Reader. To download the Adobe Acrobat Reader, click the following URL:  
<http://www.adobe.com/products/acrobat/readstep2.html>

You can enter your voting instructions and view the annual report and proxy material at the following Internet site: <http://www.ProxyVote.com>

Note: If your e-mail software supports it, you can simply click on the above link.

To access ProxyVote, you will need the above CONTROL NUMBER and your four digit PIN, which is the last four digits of your social security number. If you do not know your PIN, please follow the instructions below. Internet voting is accepted up to 11:59 p.m. (ET) the day before the meeting date.

You can submit your proxy voting instructions right over the Internet

IMPORTANT: Please note that your prior election to receive Sears shareholder material over the Internet will terminate upon the conclusion of the 2002 annual meeting. In order to continue to receive Sears shareholder communications online after the annual meeting, please complete a new consent and enrollment profile through the following web site: InvestorDelivery.com. You will need the control number above, and you will be required to select a four-digit PIN (we suggest the last four digits of your Social Security number or Tax ID.)

There are no charges for this service. There may be costs associated with electronic access, such as usage charges from Internet access providers and telephone companies, which must be borne by the shareholder.

Please do not send any e-mails to ID@ProxyVote.com. If you have any comments or questions about ProxyVote.com, if you require assistance in order to access the annual report or proxy material or if you would like to request a paper copy of a document, PLEASE REPLY TO THIS EMAIL. (Include the original text and Subject line of this message for identification purposes.)

Thank you for participating in the Sears Paperless Annual Meeting Program.

Remember, your vote counts!

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## E-fail Notification

Dear Investor:

This notification is being sent to you because you previously enrolled for electronic delivery of certain shareowner material and a recent attempt to notify you of the availability of said material has failed. As a result, your enrollment in this service has been suspended.

This is a notification of the **ANNUAL MEETING**:

**MEETING DATE:**  
**FOR HOLDERS AS OF:**  
**CUSIP NUMBER:**

You can view the shareowner material at the following Internet site(s):

You can enter your voting instructions at the following Internet site with the control number stated below: <http://www.proxyvote.com>

The following is the information we have on file:

**ACCOUNT NUMBER:**  
**E-MAIL ADDRESS:**  
**CONTROL NUMBER:**

Until your enrollment is reactivated, you will receive hard copy mailings of all shareholder materials for this account. To reactivate your enrollment, please visit:

You can submit your proxy voting instructions right over the Internet

Edgar Filing: SEARS ROEBUCK & CO - Form DEFA14A

If the documents above are in a PDF format you will need Adobe Acrobat Reader.  
To download a free copy go to: <http://www.adobe.com/products/acrobat/readstep2.html>

If you have any questions regarding your investment, please contact the individual responsible for your account.