

Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

August 07, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Tsai, Jr., Gerald  
Tsai Management, Inc.  
200 Park Avenue  
Suite 4501  
New York,, NY 10166  
USA

2. Issuer Name and Ticker or Trading Symbol

Triarc Companies, Inc.  
TRY

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

July 31, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
(specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	5. Amount of Securities Beneficially Owned at End of Month
Class A Common Stock	1/1/01	J(1)   V	298 (A)   \$25.12 (2)	
Class A Common Stock	1/18/01	J(1)   V	60 (A)   \$24.64 (2)	
Class A Common Stock	4/2/01	J(1)   V	296 (A)   \$23.04 (2)	
Class A Common Stock	4/26/01	J(1)   V	60 (A)   \$24.81 (2)	
Class A Common Stock	6/21/01	J(1)   V	59 (A)   \$25.24 (2)	
Class A Common Stock	7/2/01	J(1)   V	296 (A)   \$25.31 (2)	
Class A Common Stock	7/11/01	S	1,000 (D)   \$25.20	

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Class A Common Stock	7/12/01	S		2,000	(D)	\$25.67		
Class A Common Stock	7/12/01	S		2,500	(D)	\$25.70		
Class A Common Stock	7/12/01	S		500	(D)	\$25.67		
	7/12/01	S		210	(D)	\$25.61		
	7/12/01	S		2,000	(D)	\$25.65		
	7/12/01	S		1,000	(D)	\$25.25		
	7/13/01	S		1,000	(D)	\$25.71		
	7/13/01	S		2,000	(D)	\$26.05		
	7/23/01	S		2,534	(D)	\$25.96	2,855	

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (A) or Exercise Date (D)	7. Title and Amount of Underlying Securities	8. Put or Call
Stock Options (right to buy) with tandem tax withholding rights.	\$26.00	6/21/01	(A)	4,000	(A) (3) 6/21/11	Class A Common Stock	4,000

Explanation of Responses:

(1) Shares were issued pursuant to the Company's 1998 Equity Participation Plan in lieu of annual retainer or Board of Directors or committee meeting attendance fees that would otherwise be payable in cash.

(2) In accordance with the Company's 1998 Equity Participation Plan, the price is the average of the closing price per share on the 20 consecutive trading days immediately preceding the date on which the annual retainer or meeting attendance fee would otherwise be payable.

(3) The option vests in two equal installments on June 21, 2002 and

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2003.

SIGNATURE OF REPORTING PERSON

GERALD TSAI, JR.

DATE

August 6, 2001