

Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

January 10, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Schaefer, Fred H.
Triarc Companies, Inc.
280 Park Avenue, 41st Floor
New York, NY 10017
USA

2. Issuer Name and Ticker or Trading Symbol

Triarc Companies, Inc.
TRY

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

December 31, 2000

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)

Senior Vice President and Chief Accounting Officer

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | A/D | Price | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|--|-----|----------|--|
| Class A Common Stock | 12/29/00 | M | 6,000 | (A) | \$10.75 | |
| Class A Common Stock | 12/29/00 | D | 6,000 | (D) | \$24.25 | |
| Class A Common Stock | 12/29/00 | M | 5,000 | (A) | \$10.125 | |
| Class A Common Stock | 12/29/00 | D | 5,000 | (D) | \$24.25 | |
| Class A Common Stock | 12/29/00 | M | 10,000 | (A) | \$12.54 | |
| Class A Common Stock | 12/29/00 | D | 10,000 | (D) | \$24.25 | |

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| | | | | | | |
|----------------------|------------|---|--------|-----|---------|---|
| Class A Common Stock | 12/29/1900 | M | 12,500 | (A) | \$18.00 | |
| Class A Common Stock | 12/29/1900 | D | 12,500 | (D) | \$24.25 | |
| Class A Common Stock | 12/29/1900 | M | 5,000 | (A) | \$21.00 | |
| Class A Common Stock | 12/29/1900 | D | 5,000 | (D) | \$24.25 | |
| Class A Common Stock | 12/29/1900 | D | 5,500 | (D) | \$24.25 | 0 |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---|--|---------------------|---------------------|--|--|--|----------------|
| Employee stock option (right to buy) with tandem tax withholding rights | \$10.75 | 12/29/1900 | M | 6,000 | D (1) 11/30/1904 | Class A Common Stock | 6,000 |
| Employee stock option (right to buy) with tandem tax withholding rights | \$10.125 | 12/29/1900 | M | 5,000 | D (2) 12/07/1905 | Class A Common Stock | 5,000 |
| Employee stock option (right to buy) with tandem tax withholding rights | \$12.54 | 12/29/1900 | M | 10,000 | D (3) 03/20/1907 | Class A Common Stock | 10,000 |
| Employee stock option (right to buy) with tandem tax withholding rights | \$18.00 | 12/29/1900 | M | 12,500 | D (4) 04/24/1903 | Class A Common Stock | 12,500 |
| Employee stock option (right to buy) with tandem tax withholding rights | \$21.00 | 12/29/1900 | M | 5,000 | D (5) 03/01/1904 | Class A Common Stock | 5,000 |

Explanation of Responses:

(1) The option vested in three equal installments on November 30, 1994, 1995 and 1996.

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(2) The option vested in three equal installments on December 7, 1996, 1997 and 1998.

(3) The option vested in three equal installments on March 20, 1998, 1999 and 2000.

(4) The option vested in three equal installments on April 24, 1994, 1995 and 1996.

(5) The option vested in three equal installments on March 1, 1995, 1996 and 1997.

SIGNATURE OF REPORTING PERSON

FRED H. SCHAEFER

DATE

JANUARY 10, 2001