

Atlanticus Holdings Corp  
Form 4  
July 21, 2014

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JPMORGAN CHASE & CO

2. Issuer Name and Ticker or Trading Symbol  
Atlanticus Holdings Corp [ATLC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
270 PARK AVENUE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/31/2012

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
\_\_X\_\_ 10% Owner  
\_\_\_\_ Other (specify below)

NEW YORK, NY 10017

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	10/24/2013	10/24/2013	P		3	A	\$ 3.47	1,672,583	I	See Footnote 1
Common Stock	11/14/2013	11/14/2013	P		2	A	\$ 3.4	1,661,085	I	See Footnote 1
Common Stock	11/25/2013	11/25/2013	S		45	D	\$ 3.55	1,672,340	I	See Footnote 1
Common Stock	11/25/2013	11/25/2013	S		100	D	\$ 3.54	1,672,340	I	See Footnote 1
Common Stock	11/25/2013	11/25/2013	S		100	A	\$ 3.54	1,672,340	I	See Footnote 1
	12/04/2013	12/04/2013	P		3	A		1,672,743	I	

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Common Stock						\$ 3.71			See Footnote 1
Common Stock	12/18/2013	12/18/2013	S	3	D	\$ 3.5	1,672,740	I	See Footnote 1
Common Stock	12/27/2013	12/27/2013	P	15	A	\$ 3.58	1,672,755	I	See Footnote 1
Common Stock	01/07/2014	01/07/2014	P	174	A	\$ 3.29	1,672,520	I	See Footnote 1
Common Stock	02/01/2013	02/01/2013	S/K	69.33	A	\$ 3.25	1,535,672	I	See Footnote 1
Common Stock	02/12/2013	02/12/2013	P/K	69.33	A	\$ 3.27	1,535,672	I	See Footnote 1
Common Stock	02/15/2013	02/15/2013	P/K	79,300	A	\$ 3.21	1,535,672	I	See Footnote 1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu...
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JPMORGAN CHASE & CO 270 PARK AVENUE NEW YORK, NY 10017		X		

## Signatures

Anthony Horan

07/21/2014

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### Remarks:

1. All reported transactions were executed by wholly-owned subsidiaries of the Reporting Person.
2. The Reporting Person will send the Issuer a check for the amount of profit subject to short-swing recovery as a result of the

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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