

Davis Robert Todd
Form 3
March 22, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Davis Robert Todd

(Last) (First) (Middle)

1183 E. CANVASBACK DRIVE

(Street)

TERRE HAUTE,Â INÂ 47802

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/13/2019

3. Issuer Name **and** Ticker or Trading Symbol
HALLADOR ENERGY CO [HNRG]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
SVP & CAO

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of
Shares

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect
(I)
(Instr. 5)

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

| | | | | | | | |
|------------------------|-------|-------|-------------------------------------|------------------|------------|---|---|
| Restricted Stock Units | Â (1) | Â (1) | Common Stock, par value \$.01 | 4,000 (1) (2) | \$ (1) (2) | D | Â |
|------------------------|-------|-------|-------------------------------------|------------------|------------|---|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| Davis Robert Todd 1183 E. CANVASBACK DRIVE TERRE HAUTE, IN 47802 | Â | Â | Â SVP & CAO | Â |

Signatures

R. Todd Davis 03/22/2019

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each restricted stock unit represents a contingent right to receive one share of Hallador Energy Company common stock pursuant to the terms of the Amended and Restated 2008 Restricted Stock Unit Plan.
- (2) The RSUs cliff vest. 2,000 RSUs vest on December 1, 2019, and 2,000 on December 1, 2021. Vested shares will be delivered to the reporting person under terms of the Amended and Restated 2008 Restricted Stock Unit Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.