

Jain Ajit  
Form 3/A  
November 09, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Jain Ajit

(Last) (First) (Middle)

100 FIRST STAMFORD  
PLACE,Â BHRG

(Street)

STAMFORD,Â CTÂ 06902

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/10/2018

3. Issuer Name and Ticker or Trading Symbol

BERKSHIRE HATHAWAY INC [BRK.A]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner

☒ Officer ☐ Other

(give title below) (specify below)

Vice Chairman

5. If Amendment, Date Original Filed(Month/Day/Year)

01/18/2018

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Class B Common Stock

1,224

D

Â

Class B Common Stock

150

I

By Spouse

Class B Common Stock

134,395

I

By Jain Foundation Inc.  
(Non-Profit Corporation)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: Jain Ajit - Form 3/A

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class A Common Stock	Â (1)	Â (1)	Class B Common Stock	100,500 (2) \$ (2)	D Â
Class A Common Stock	Â (1)	Â (1)	Class B Common Stock	103,500 (3) \$ (3)	I By Spouse
Class A Common Stock	Â (1)	Â (1)	Class B Common Stock	81,000 (4) \$ (4)	I By the Ajit Jain GRAT Trust
Class A Common Stock	Â (1)	Â (1)	Class B Common Stock	91,500 (5) \$ (5)	I By the Indrima Jain GRAT Trust

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jain Ajit 100 FIRST STAMFORD PLACE BHRG STAMFORD,Â CTÂ 06902	Â X	Â	Â Vice Chairman	Â

## Signatures

Ajit Jain 11/09/2018

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Not Applicable

Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock.

(2) The reporting person directly owns 67 shares of Class A Common Stock, which are convertible into 100,500 shares of Class B Common Stock.

Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock.

(3) The reporting person's spouse owns 69 shares of Class A Common Stock, which are convertible into 103,500 shares of Class B Common Stock.

(4) Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock. A family-planning trust established by the reporting person for the benefit of the reporting person's children owns 54 shares of Class A

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Common Stock, which are convertible into 81,000 shares of Class B Common Stock.

Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock.

- (5) A family-planning trust established by the spouse of the reporting person for the benefit of the reporting person's children owns 61 shares of Class A Common Stock, which are convertible into 91,500 shares of Class B Common Stock.

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### Remarks:

TheÂ originalÂ reportÂ erroneouslyÂ omittedÂ 150Â sharesÂ ofÂ ClassÂ BÂ CommonÂ StockÂ ownedÂ byÂ theÂ Report

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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