DuPre Daniel A. Form 4 September 11, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

3235-0287 Number: January 31, Expires:

2005 Estimated average

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

1100

(Print or Type Responses)

1. Name and Address of Reporting Person \* DuPre Daniel A.

(First)

(State)

2. Issuer Name and Ticker or Trading Symbol

FEDERAL SIGNAL CORP /DE/

[FSS]

3. Date of Earliest Transaction (Month/Day/Year)

1415 WEST 22ND STREET, SUITE 09/10/2018

(Middle)

(Zip)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

VP, GC & Secretary

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

OAK BROOK, IL 60523

						-	, <u>*</u>	,	•
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ies Ac	quired	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Ownership	Indirect	
(Instr. 3)		any	* * * * * * * * * * * * * * * * * * * *			Beneficially	Form: Direct	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	(D) or	Ownership
							Following	Indirect (I)	(Instr. 4)
					(4)		Reported	(Instr. 4)	
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common	00/10/2010		3.4	10.500		<b>.</b>	47,438.9804	Ъ	
Stock	09/10/2018		M	10,500	A	\$ 6.68	<u>(1)</u> <u>(2)</u>	D	
Common Stock	09/10/2018		F	6,096 <sup>(3)</sup>	D	\$ 26.49	41,342.9804	D	
Stock				_		20.17			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: DuPre Daniel A. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securition (Instr. 3 and 4)	
				Code V	(A)	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Stock Options (right-to-buy)	\$ 6.68	09/10/2018		M	1	10,500	<u>(4)</u>	02/20/2019	Common Stock	10,5

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DuPre Daniel A. 1415 WEST 22ND STREET SUITE 1100 OAK BROOK, IL 60523

VP, GC & Secretary

# **Signatures**

Daniel A. DuPre 09/10/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Some shares are subject to restrictions and forfeiture under Company Stock Benefit Plan.
- (2) 234.27 shares were acquired through the dividend reinvestment plan.
- (3) This amount represents the total amount of shares withheld to cover payment of the exercise price and applicable tax withholding.
- (4) The option was granted on 2/20/2009 and vested in three equal annual installments. The option became fully vested and exercisable as of 2/20/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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