

Edgar Filing: Coutee Benjamin T - Form 3

(Instr. 5)

| | | | | | | | |
|---|----------------------------|-------------------|--------------|--------|---------|---|---|
| Employee Stock Option (Right to Buy) | 04/20/2012 ⁽¹⁾ | 04/20/2021 | Common Stock | 8,000 | \$ 5.34 | D | Â |
| Employee Stock Option (Right to Buy) | 11/15/2012 ⁽²⁾ | 11/15/2021 | Common Stock | 4,664 | \$ 4.42 | D | Â |
| Employee Stock Option (Right to Buy) | 11/15/2013 ⁽³⁾ | 11/15/2018 | Common Stock | 12,862 | \$ 5.95 | D | Â |
| Employee Stock Option (Right to Buy) | 01/23/2016 ⁽⁴⁾ | 01/23/2025 | Common Stock | 58,994 | \$ 4.49 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 01/23/2016 ⁽⁵⁾ | 01/23/2025 | Common Stock | 22,973 | \$ 4.49 | D | Â |
| Employee Stock Option (Right to Buy) | 11/11/2017 ⁽⁶⁾ | 11/11/2025 | Common Stock | 3,269 | \$ 4.89 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 11/11/2016 ⁽⁷⁾ | 11/11/2025 | Common Stock | 22,773 | \$ 4.89 | D | Â |
| Employee Stock Option (Right to Buy) | 11/30/2019 ⁽⁸⁾ | 11/30/2026 | Common Stock | 5,293 | \$ 4.26 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 11/30/2017 ⁽⁹⁾ | 11/30/2026 | Common Stock | 15,881 | \$ 4.26 | D | Â |
| Employee Stock Option (Right to Buy) | 12/08/2019 ⁽¹⁰⁾ | 11/30/2027 | Common Stock | 23,904 | \$ 2.82 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 12/08/2018 ⁽¹¹⁾ | 12/08/2027 | Common Stock | 23,905 | \$ 2.82 | D | Â |
| Restricted Stock Unit | 11/11/2018 | Â ⁽¹²⁾ | Common Stock | 10,225 | \$ 4.89 | D | Â |
| Restricted Stock Unit | 11/30/2019 | Â ⁽¹³⁾ | Common Stock | 8,803 | \$ 4.26 | D | Â |
| Restricted Stock Unit | 12/08/2020 | Â ⁽¹⁴⁾ | Common Stock | 17,730 | \$ 2.82 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Coutee Benjamin T 13111 NORTHWEST FREEWAY 600 HOUSTON, TX 77040 | Â | Â | Â Chief Operating Officer | Â |

Signatures

Benjamin T
Coutee

10/30/2018

 **Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option becomes exercisable as to one-fourth of the total number of each succeeding anniversary of the grant date. Thus this option becomes exercisable, beginning on the first anniversary of the grant date of 4/20/2011, as follows: 2,000 shares on 4/20/2012, 2,000 shares on 4/20/2013, 2,000 shares on 4/20/2014, and 2,000 shares on 4/20/2015.

(2) This option becomes exercisable as to one-fourth of the total number of each succeeding anniversary of the grant date. Thus this option becomes exercisable, beginning on the first anniversary of the grant date of 11/15/2011, as follows: 1,166 shares on 11/15/2012, 1,166 shares on 11/15/2013, 1,166 shares on 11/15/2014, and 1,166 shares on 11/15/2015.

(3) This option becomes exercisable as to one-fourth of the total number of each succeeding anniversary of the grant date. Thus this option becomes exercisable, beginning on the first anniversary of the grant date of 11/15/2012, as follows: 3,216 shares on 11/15/2013, 3,215 shares on 11/15/2014, 3,216 shares on 11/15/2015, and 3,215 shares on 11/15/2016.

(4) This option becomes exercisable, beginning on the first anniversary of the grant date of 01/23/2015, as follows: 18,011 on 01/23/2016, 20,491 on 01/23/2017, and 20,492 on 01/23/2018.

(5) This option becomes exercisable on 01/23/2016.

(6) This option becomes exercisable as follows: 1,635 on 11/11/2017 and 1,634 on 11/11/2018.

(7) This option becomes exercisable as follows: 13,021 on 11/11/2016, 4,876 on 11/11/2017, and 4,876 on 11/11/2018.

(8) This option becomes exercisable on 11/30/2019.

(9) This option becomes exercisable as follows: 10,587 on 11/30/2017 and 5,294 on 11/30/2018.

(10) This option becomes exercisable as follows: 11,952 on 12/08/2019 and 11,952 on 12/08/2020.

(11) This option becomes exercisable on 12/08/2018.

(12) The security fully vests at time granted and remains restricted until the earlier of 11/11/2018 or the date of the officer's resignation or retirement.

(13) The security fully vests at time granted and remains restricted until the earlier of 11/30/2019 or the date of the officer's resignation or retirement.

(14) The security fully vests at time granted and remains restricted until the earlier of 12/08/2020 or the date of the officer's resignation or retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.