Brazil Minerals, Inc. Form 4 February 11, 2015

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Fogassa Marc

Brazil Minerals, Inc. [BMIX]

02/11/2015

(Month/Day/Year)

(Check all applicable)

(Last)

(City)

(Middle)

(Zip)

(Month/Day/Year)

3. Date of Earliest Transaction

\_X\_\_ Director X\_ Officer (give title

X\_\_ 10% Owner Other (specify

155 NORTH LAKE **AVENUE. SUITE 800** 

4. If Amendment, Date Original

Chief Executive Officer, CFO

Filed(Month/Day/Year)

(Instr. 8)

Code V

J(1)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

below)

PASADENA, CA 91101

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities Acquired (A) 5. Amount of Transaction Disposed of (D) Code (Instr. 3, 4 and 5)

Amount

4,998,595

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Securities Beneficially Owned Following

7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(A) or (D)

Price

Reported Transaction(s)

(Instr. 4)

(Instr. 3 and 4)

I

Common Stock, par

(Instr. 3)

value 02/11/2015

32,851,304 <u>(2)</u> (3)

Through entities

\$.001 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

### Edgar Filing: Brazil Minerals, Inc. - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e		Securit	ies	(Instr. 5)	Bene
	Derivative			Securities				(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable	Date	Title Number of			
				C + V	(A) (D)						
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Fogassa Marc 155 NORTH LAKE AVENUE SUITE 800 PASADENA, CA 91101	X	X	Chief Executive Officer, CFO				

# **Signatures**

Marc Fogassa 02/11/2015 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Acquisition by Sainte Valiere, LLC from Brazil Mining, Inc. of shares of Brazil Minerals, Inc. pursuant to a Board approved agreement concerning payment of contractually owed and unpaid employment compensation. Sainte Valiere, LLC is owned by a trust of which Marc Fogassa is the sole beneficiary.
- (2) The average price of the shares transferred to Sainte Valiere, LLC was \$.0050 per share.
- Includes 15,477,306 shares owned by Brazil Mining, Inc., of which Marc Fogassa is a director, President and has majority voting power, and 17,374,088 shares owned by Sainte Valiere, LLC, a limited liability company owned by a trust of which Marc Fogassa is the sole

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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