## Edgar Filing: S&W Seed Co - Form 4

S&W Seed C	Co										
Form 4 February 04,	2014										
FORM		CT A TEC	SECU	DITIEC	AND EV			NT	PPROVA	۱L	
Washington, D.C. 20549							Number:	3235-			
Check thi if no long	or							Expires:	Januar	y 31, 2005	
subject to STATEMENT OF CHANGES IN BENEFICIAL OWN Section 16. SECURITIES Form 4 or							Estimated burden hou response	ours per			
Form 5 obligatior may conti <i>See</i> Instru 1(b).	inue. Section 17(	(a) of the F	Public U	Jtility Hol	lding Co		nge Act of 1934, t of 1935 or Secti 1940				
(Print or Type R	Responses)										
1. Name and A BORNT GL	2. Issuer Name <b>and</b> Ticker or Trading Symbol			r Trading	5. Relationship of Reporting Person(s) to Issuer						
(Last)	(First)	Middle	S&W Seed Co [SANW]				(Cho	(Check all applicable)			
(Last) (First) (Middle) 2311 E. HIGHWAY 98			3. Date of Earliest Transaction (Month/Day/Year) 01/31/2014				X_ Director 10% Owner Officer (give title Other (specify below) below)				
				4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>				
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	ally Owned	1	
	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, Amount	(A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownershi (Instr. 4)	ıl	
D . I D		C 1 1	c	··· 1	C · 11		· · · /1				
Keminder: Kep	ort on a separate line	e for each cla	iss of sec	urities bene	Perso inforr requi	ons who re nation con red to resp ays a curre	or indirectly. spond to the collect tained in this forn ond unless the fo ontly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab					sposed of, or convertible	· Beneficially Owner securities)	d			

1. Title of Derivative		3. Transaction Date (Month/Day/Year)				6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
Security (Instr. 3)	or Exercise Price of		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired	(Month/Day/Year)	(Instr. 3 and 4)

	Derivative Security			(A) or Disposed of (D) (Instr. 3, 4, and 5)				
			Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Option (Right to Buy)	\$ 6.23	01/31/2014	А	7,000	04/01/2014 <u>(1)</u>	01/31/2019	Common Stock	7,000

## **Reporting Owners**

Reporting Owner Name / Addro	ess	Relationships						
	Director	10% Owner	Officer	Other				
BORNT GLEN D 2311 E. HIGHWAY 98 HOLTVILLE, CA 92250	X							
Signatures								
Glen D. Bornt	02/04/2014							
**Signature of Reporting Person	Date							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The options vest and become exercisable in four quarterly installments of 1,750 shares over one year, commencing on April 1, 2014 and (1) continuing thereafter on the first day of each succeeding fiscal quarter (July 1, October 1 and January 1) through and including January 1, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.