

Springer Jackie D. Jr.  
Form 4  
November 08, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Springer Jackie D. Jr.

2. Issuer Name and Ticker or Trading Symbol  
Malibu Boats, Inc. [MBUU]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5075 KIMBERLY WAY

3. Date of Earliest Transaction (Month/Day/Year)  
11/06/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Chief Executive Officer

(Street)  
LOUDON, TN 37774

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
| Class A Common Stock            | 11/06/2018                           |  | F(1)                           | 1,993 D   | \$ 42.39  | 87,976 (2)   | D                                 |
| Class A Common Stock            | 11/07/2018                           |  | F(3)                           | 1,334 D   | \$ 42   | 86,642 (2)   | D                                 |
| Class A Common Stock            | 11/07/2018                           |  | F(4)                           | 2,224 D   | \$ 42   | 84,418 (2)   | D                                 |
| Class A Common                  | 11/07/2018                           |  | F(5)                           | 1,083 D   | \$ 42   | 83,335 (2)   | D                                 |

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| Springer Jackie D. Jr.<br>5075 KIMBERLY WAY<br>LOUDON, TN 37774 | X             |           | Chief Executive Officer |       |

## Signatures

Jackie D. Springer Jr., /s/ Wayne Wilson as attorney-in-fact  
Date: 11/08/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 5,063 shares under a restricted stock award granted on November 4, 2016.

(2) As of the filing date hereof, includes 10,124 shares of restricted stock vesting in two equal annual installments beginning November 4, 2019, 5,651 shares of restricted stock vesting on November 6, 2019, 8,250 shares of restricted stock vesting in three equal annual installments beginning on November 6, 2019, and 12,000 shares of restricted stock vesting in four equal annual installments beginning November 6, 2019.

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- (3) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 3,390 shares under a restricted stock award granted on November 6, 2015.
- (4) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 5,650 shares under a restricted stock award granted on November 6, 2015.
- (5) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 2,750 shares under a restricted stock award granted on November 6, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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