

Engle Robb William
Form 4
July 03, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Engle Robb William

(Last) (First) (Middle)
164 LUCAS AVENUE
(Street)

KINGSTON, NY 12401

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SONO TEK CORP [SOTK]

3. Date of Earliest Transaction
(Month/Day/Year)
06/29/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount (A) or (D) Price | | |
| Sono-Tek Corp Common Stock | 06/29/2018 | | M | | 10,000 (1) \$ 0.61 | 15,896 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | | F | | 3,211 (2) \$ 1.9 | 12,685 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | | M | | 11,000 (3) \$ 0.48 | 23,685 | D |

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| | | | | | | | |
|----------------------------|------------|---|-----------------------|---|---------|--------|---|
| Sono-Tek Corp Common Stock | 06/29/2018 | F | <u>2,779</u> (4) | D | \$ 1.9 | 20,906 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | M | <u>12,000</u> (5) | A | \$ 1.05 | 32,906 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | F | <u>6,632</u> (6) | D | \$ 1.9 | 26,274 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | M | <u>14,400</u> (7) | A | \$ 1.19 | 40,674 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | F | <u>9,019</u> (8) | D | \$ 1.9 | 31,655 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | M | <u>30,000</u> (9) | A | \$ 0.91 | 61,655 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | F | <u>14,368</u> (10) | D | \$ 1.9 | 47,287 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

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- (8) Reporting person surrendered 9019 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (9) Reporting person exercised 30000 options.
- (10) Reporting person surrendered 14368 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (11) Subject to vesting schedule.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.