

TURNER MARK A
Form 4
August 08, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TURNER MARK A

2. Issuer Name and Ticker or Trading Symbol
WSFS FINANCIAL CORP [WSFS]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
C/O WSFS FINANCIAL CORPORATION, 500 DELAWARE AVENUE

3. Date of Earliest Transaction (Month/Day/Year)
08/06/2018

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
CEO

(Street)
WILMINGTON, DE 19801

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	Amount				(A) or (D)
Common Stock	08/06/2018	08/06/2018	M		4,800	A	\$ 16.5067	136,436	D	
Common Stock	08/06/2018	08/06/2018	S ⁽¹⁾		4,800	D	\$ 55.4687 <u>(2)</u>	131,636	D	
Common Stock	08/06/2018	08/06/2018	M		4,906	A	\$ 16.5067	136,542	D	
Common Stock	08/06/2018	08/06/2018	S ⁽¹⁾		4,906	D	\$ 55.4505 <u>(3)</u>	131,636	D	

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Common Stock	08/06/2018	08/06/2018	M	4,117	A	\$ 16.5067	135,753	D	
Common Stock	08/06/2018	08/06/2018	S ⁽¹⁾	4,117	D	\$ 55.4628 (4)	131,636	D	
Common Stock	08/07/2018	08/07/2018	M	3,301	A	\$ 16.5067	134,937	D	
Common Stock	08/07/2018	08/07/2018	S ⁽¹⁾	3,301	D	\$ 55.3939 (5)	131,636	D	
Common Stock	08/07/2018	08/07/2018	M	3,900	A	\$ 16.5067	135,536	D	
Common Stock	08/07/2018	08/07/2018	S ⁽¹⁾	3,900	D	\$ 55.3885 (5)	131,636	D	
Common Stock	08/07/2018	08/07/2018	M	3,900	A	\$ 16.5067	135,536	D	
Common Stock	08/07/2018	08/07/2018	S ⁽¹⁾	3,900	D	\$ 55.3946 (5)	131,636	D	
Common Stock	08/08/2018	08/08/2018	M	33,899	A	\$ 16.5067	165,535	D	
Common Stock	08/08/2018	08/08/2018	S ⁽¹⁾	33,899	D	\$ 51.2938 (6)	131,636	D	
Common Stock							32,395	I	401-K
Common Stock							7,500	I	IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8
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and 5)

	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Options (Right to buy)	\$ 16.5067		08/06/2018		08/06/2018	M	13,823	(7)	02/28/2020	Common Stock	13,823
Stock Options (Right to buy)	\$ 16.5067		08/07/2018		08/07/2018	M	11,101	(7)	02/28/2020	Common Stock	11,101
Stock Options (Right to buy)	\$ 16.5067		08/08/2018		08/08/2018	M	33,899	(7)	02/28/2020	Common Stock	33,899

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

TURNER MARK A
C/O WSFS FINANCIAL CORPORATION
500 DELAWARE AVENUE
WILMINGTON, DE 19801

CEO

Signatures

/s/ Mark A. Turner by Charles Mosher, Power of Attorney

08/08/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale was effected pursuant to a 10b5-1 trading plan previously adopted by Mr. Turner.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$55.35 to \$55.60, inclusive.

(2) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$55.30 to \$55.65, inclusive.

(3) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$55.20 to \$55.65, inclusive.

(4) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(5) Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$55.15 to \$55.70, inclusive. For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security

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holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$50.50 to \$52.25, inclusive.

- (6) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (7) Vests 300,000 share(s) on 28-Feb-2015, 150,000 share(s) on 29-Feb-2016, 150,000 share(s) on 28-Feb-2017, 150,000 share(s) on 28-Feb-2018

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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