TURNER MARK A

Form 4 May 22, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* TURNER MARK A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

WSFS FINANCIAL CORP [WSFS]

(Check all applicable)

(Middle) 3. Date of Earliest Transaction (Month/Day/Year)

05/18/2018

Director 10% Owner Officer (give title Other (specify below) below)

**CEO** 

C/O WSFS FINANCIAL CORPORATION, 500 DELAWARE **AVENUE** 

(Street)

(State)

(Zip)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WILMINGTON, DE 19801

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
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` •	` '	Tab	ie i - Non-	Derivative	Secu	riues Acquir	ea, Disposea oi,	or Beneficially	y Ownea
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securition Dispose (Instr. 3, 4	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)		Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	05/18/2018	05/18/2018	Code V M	Amount 1,480	(D)	Price \$ 16.5067	133,116	D	
Common Stock	05/18/2018	05/18/2018	S(1)	1,480	D	\$ 52.4352 (2)	131,636	D	
Common Stock	05/21/2018	05/21/2018	M	7,200	A	\$ 16.5067	138,836	D	
Common Stock	05/21/2018	05/21/2018	S <u>(1)</u>	7,200	D	\$ 52.6389	131,636	D	

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Common Stock	05/22/2018	05/22/2018	M	10,350 A	\$ 16.5067	141,986	D	
Common Stock	05/22/2018	05/22/2018	S <u>(1)</u>	10,350 D	\$ 52.89 (4)	131,636	D	
Common Stock						32,409	I	401k
Common Stock						7,500	I	IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		orDerivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Options (Right to buy)	\$ 16.5067	05/18/2018	05/18/2018	M		1,480	<u>(5)</u>	02/28/2020	Common Stock	1,480				
Stock Options (Right to buy)	\$ 16.5067	05/21/2018	05/21/2018	M		7,200	<u>(5)</u>	02/28/2020	Common Stock	7,200				
Stock Options (Right to buy)	\$ 16.5067	05/22/2018	05/22/2018	M		10,350	<u>(5)</u>	02/28/2020	Common Stock	10,350				

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Reporting Owners 2

TURNER MARK A C/O WSFS FINANCIAL CORPORATION 500 DELAWARE AVENUE WILMINGTON, DE 19801

**CEO** 

### **Signatures**

/s/ Mark A. Turner by Charles Mosher, Power of Attorney

05/18/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to a 10b5-1 trading plan previously adopted by Mr. Turner.
  - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$52.40 to \$52.55, inclusive.
- (2) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
  - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$52.50 to \$52.875, inclusive.
- (3) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
  - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$52.55 to \$53.15, inclusive.
- (4) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (5) Vests 300,000 share(s) on 28-Feb-2015, 150,000 share(s) on 29-Feb-2016, 150,000 share(s) on 28-Feb-2017, 150,000 share(s) on 28-Feb-2018

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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