

STEINBERG JOSEPH S
Form 4
July 06, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEINBERG JOSEPH S

(Last) (First) (Middle)

C/O CRIMSON WINE GROUP
LTD., 2700 NAPA VALLEY
CORPORATE DRIVE

(Street)

NAPA, CA 94558

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Crimson Wine Group, Ltd [CWGL]

3. Date of Earliest Transaction
(Month/Day/Year)

07/03/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| | | | | | | | \$ |
| Common Stock | 07/03/2018 | | P ⁽¹⁾ | | 1,400 | A | 9.25 ⁽²⁾ |
| Common Stock | 07/05/2018 | | P ⁽¹⁾ | | 1,400 | A | \$ 9.25 |
| Common Stock | 07/06/2018 | | P ⁽¹⁾ | | 1,400 | A | \$ 9.25 ⁽³⁾ |
| Common Stock | | | | | | | 107,862 |

I By Paul S. Steinberg

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| | | | |
|--------------|---------|---|---|
| Common Stock | 107,860 | I | 2004 Trust By Rachel C. Steinberg 2004 Trust |
| Common Stock | 107,860 | I | By Sarah A. Steinberg 2004 Trust |
| Common Stock | 14,400 | I | By Spouse |
| Common Stock | 720 | I | By Daughter |
| Common Stock | 57,403 | I | By JSS 2010 Family Trust |
| Common Stock | 200,000 | I | By JSS Holding Corp - 1 |
| Common Stock | 70,000 | I | By JSS Holding Corp - 2 |
| Common Stock | 200,000 | I | By JSS Holding Corp - 3 |
| Common Stock | 200,000 | I | By JSS Holding Corp - 4 |
| Common Stock | 200,000 | I | By JSS Holding Corp - 5 |
| Common Stock | 200,000 | I | By JSS Holding Corp - 6 |
| Common Stock | 200,000 | I | By JSS Holding Corp - 7 |
| Common Stock | 114,806 | I | By Steinberg Holding Inc - D |
| Common Stock | 114,806 | I | By Steinberg |

| | | | |
|--------------|---------|---|---|
| Common Stock | 114,806 | I | Holding Inc - E By Steinberg Holding Inc - F |
| Common Stock | 114,806 | I | By Steinberg Holding Inc - G |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | | | | | | Code | V | (A) | (D) |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

STEINBERG JOSEPH S
C/O CRIMSON WINE GROUP LTD.
2700 NAPA VALLEY CORPORATE DRIVE
NAPA, CA 94558

X

Signatures

/s/ Patrick M. DeLong as
Attorney-in-Fact

07/06/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to a Rule 10b5-1 plan entered into by the reporting person.

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$9.23 to \$9.27, inclusive. The reporting person undertakes to provide to Crimson Wine Group, Ltd., any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

- (2)

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$9.23 to \$9.25, inclusive. The reporting person undertakes to provide to Crimson Wine Group, Ltd., any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

- (3)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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