

Conroy Alexandre
Form 3
February 08, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Conroy Alexandre

(Last) (First) (Middle)

C/O BECTON, DICKINSON
AND COMPANY,Â 1 BECTON
DRIVE

(Street)

FRANKLIN
LAKES,Â NJÂ 07417

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/01/2019

3. Issuer Name **and** Ticker or Trading Symbol
BECTON DICKINSON & CO [BDX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
EVP of Integrated Supply Chain

6. Individual or Joint/Group Filing(Check Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

26,364 ⁽¹⁾

D

Â

Common Stock

2,409

I

GSIP Trust ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

Edgar Filing: Conroy Alexandre - Form 3

			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I)	
Stock Appreciation Rights	11/25/2015 ⁽³⁾	11/25/2024	Common Stock	12,787	\$ 134.73	D	Â
Stock Appreciation Rights	11/26/2016 ⁽⁴⁾	11/26/2025	Common Stock	24,083	\$ 150.12	D	Â
Stock Appreciation Rights	11/26/2017 ⁽⁵⁾	11/26/2026	Common Stock	18,706	\$ 170.69	D	Â
Stock Appreciation Rights	11/26/2018 ⁽⁶⁾	11/26/2027	Common Stock	15,079	\$ 226.28	D	Â
Stock Appreciation Rights	11/26/2019 ⁽⁷⁾	11/26/2028	Common Stock	12,990	\$ 242.1	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Conroy Alexandre C/O BECTON, DICKINSON AND COMPANY 1 BECTON DRIVE FRANKLIN LAKES, NJ 07417	Â	Â	Â EVP of Integrated Supply Chain	Â

Signatures

Richard Stout, by power of attorney for Alexandre Conroy

02/08/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 3,112 restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.
- (2) Represents shares of common stock held under the Becton, Dickinson and Company Global Share Investment Program (the "GSIP"). The information presented for the GSIP is as of January 29, 2019.
- (3) The stock appreciation rights vest in four annual installments beginning November 25, 2015.
- (4) The stock appreciation rights vest in four annual installments beginning November 26, 2016.
- (5) The stock appreciation rights vest in four annual installments beginning November 26, 2017.
- (6) The stock appreciation rights vest in four annual installments beginning November 26, 2018.
- (7) The stock appreciation rights vest in four annual installments beginning November 26, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.