Aman Timothy Paul Form 4 August 01, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** obligations may continue.

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**Aman Timothy Paul** 

2. Issuer Name and Ticker or Trading

Symbol

ASPEN INSURANCE HOLDINGS

LTD [AHL]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 07/28/2017

**ASPEN INSURANCE HOLDINGS, 141 FRONT STREET** 

(State)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

5. Amount of

Securities

Beneficially

Issuer

below)

Director

Applicable Line)

X\_ Officer (give title

1. Title of 2. Transaction Date 2A. Deemed Security (Instr. 3)

HAMILTON, D0 HM19

(City)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Owned Following Reported (A) Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative

Conversion

3. Transaction Date 3A. Deemed

5. Number of (Month/Day/Year) Execution Date, if TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Group Chief Risk Officer

6. Ownership

Form: Direct

(Instr. 4)

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

10% Owner Other (specify

7. Nature of

Ownership

(Instr. 4)

Indirect

(D) or Indirect Beneficial

Estimated average

burden hours per

#### Edgar Filing: Aman Timothy Paul - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and	4)
				Code V	7 (A) (D	) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Share Units (2017 Grant)	(1)	07/28/2017		A	3,601	(2)	(2)	Ordinary Shares	3,601
2017 Performance Shares	<u>(3)</u>	07/28/2017		A	10,802	<u>(4)</u>	<u>(4)</u>	Ordinary Shares	10,802

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Aman Timothy Paul ASPEN INSURANCE HOLDINGS 141 FRONT STREET HAMILTON, D0 HM19

Group Chief Risk Officer

#### **Signatures**

/s/Silvia Martinez as Attorney-in-fact for Timothy Paul Aman

08/01/2017

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Share Unit represents the right to recieve one share of the Issuer's Ordinary Shares.

(3) Each Performance Share represents the right to receive one share of the Issuer's Ordinary Shares.

- Represents 2017 Restricted Share Units granted on July 28, 2017 under our 2013 Share Incentive Plan, as amended. One-third of the 2017 Restricted Share Units vests annually beginning February 10, 2018 over a three-year period, in each case subject to the Reporting Person's continued service. Upon vesting of the 2017 Restricted Share Units, the Reporting Person shall be entitled to recieved a number or
  - Ordinary Shares equal to the number of Restricted Share Units then vesting.
- Represents 2017 Performance Shares eligible for vesting following the achievement of certain financial targets by the Issuer. One third of the 2017 Performance Share award is tested annually over a three-year period. All vested 2017 Performance Shares will be issued following the filing of the annual report on Form 10-K for the year ended December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2