Gladstone Alan David Form 3 December 05, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

0.5

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Gladstone Alan David

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/15-07:00/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Terra Tech Corp. [TRTC]

4. Relationship of Reporting Person(s) to Issuer

Director

Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

P.O BOX 9699

(Street)

(Check all applicable)

10% Owner

Other (give title below) (specify below) 6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### **NEWPORT** BEACH, CAÂ 92658

(City) 1. Title of Security

(Instr. 4)

(State)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Direct (D)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Title

1. Title of **Derivative Security** (Instr. 4)

2. Date Exercisable and Expiration Date

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Conversion or Exercise Price of

Derivative

Security

4.

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable

**Expiration Date** 

Amount or

Number of Shares

Direct (D) or Indirect

(I) (Instr. 5) Edgar Filing: Gladstone Alan David - Form 3

Stock Options 11/15-07:00/2017 11/14-07:00/2022 Common Stock 437,500 \$ 0.2 D Â

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gladstone Alan David

P.O BOX 9699  $\hat{A}$   $\hat{A}$   $\hat{A}$   $\hat{A}$ 

NEWPORT BEACH, CAÂ 92658

# **Signatures**

/s/ Alan Gladstone 11/30-07:00/2017

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).