

HOLLAND JAMES R JR
Form 4
July 27, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
HOLLAND JAMES R JR

2. Issuer Name **and** Ticker or Trading
Symbol

TEXAS CAPITAL BANCSHARES
INC/TX [TCBI]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
07/25/2011

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

2000 MCKINNEY
AVENUE, SUITE 700

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

DALLAS, TX 75201

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	07/25/2011		M	4,000 A \$ 7.25	291,186	I	By Lamar Hunt Trust Estate ⁽²⁾
Common Stock, par value \$0.01 per share	07/25/2011		M	4,000 A \$ 19.37	295,186	I	By Lamar Hunt Trust Estate ⁽²⁾
	07/25/2011		M	2,000 A \$ 23	297,186	I	

Common Stock, par value \$0.01 per share								By Lamar Hunt Trust Estate <u>(2)</u>
Common Stock, par value \$0.01 per share	07/25/2011	M	1,600	A	\$ 22.47	298,786	I	By Lamar Hunt Trust Estate <u>(2)</u>
Common Stock, par value \$0.01 per share	07/25/2011	M	1,800	A	\$ 18.67	300,586	I	By Lamar Hunt Trust Estate <u>(2)</u>
Common Stock, par value \$0.01 per share	07/25/2011	M	1,200	A	\$ 14.17	301,786	I	By Lamar Hunt Trust Estate <u>(2)</u>
Common Stock, par value \$0.01 per share	07/25/2011	M	600	A	\$ 18.52	302,386	I	By Lamar Hunt Trust Estate <u>(2)</u>
Common Stock, par value \$0.01 per share	07/25/2011	S	15,200	D	\$ <u>(1)</u> 28.3655	287,186	I	By Lamar Hunt Trust Estate <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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					Date Exercisable	Expiration Date	Title	Amount or Number of Shares
			Code	V (A) (D)				
Stock Options	\$ 7.25	07/25/2011	M		4,000	<u>(3)</u>	01/01/2012	Common Stock 4,000
Stock Options	\$ 19.37	07/25/2011	M		4,000	<u>(4)</u>	01/01/2013	Common Stock 4,000
Stock Appreciation Rights	\$ 23	07/25/2011	M		2,000	<u>(5)</u>	05/16/2016	Common Stock 2,000
Stock Appreciation Rights	\$ 22.47	07/25/2011	M		1,600	<u>(6)</u>	07/23/2017	Common Stock 1,600
Stock Appreciation Rights	\$ 18.67	07/25/2011	M		1,800	<u>(7)</u>	05/19/2018	Common Stock 1,800
Stock Appreciation Rights	\$ 14.17	07/25/2011	M		1,200	<u>(8)</u>	05/19/2019	Common Stock 1,200
Stock Appreciation Rights	\$ 18.52	07/25/2011	M		600	<u>(9)</u>	05/18/2020	Common Stock 600

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
	X			

HOLLAND JAMES R JR
2000 MCKINNEY AVENUE
SUITE 700
DALLAS, TX 75201

Signatures

James R.
Holland, Jr.

07/27/2011

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The stated price represents the weighted average based on prices ranging from \$28.32 to \$28.55. Upon request from the SEC staff, the

- (1) Issuer, or a security holder of the Issuer, full information regarding the number of shares purchased or sold at each separate price will be provided.
- (2) Owned by Lamar Hunt Trust Estate, of which Mr. Holland is Trustee.
- (3) The stock options vested immediately upon their grant on January 1, 2002.
- (4) The stock options vested immediately upon their grant on May 17, 2005.
- (5) The stock appreciation rights vest in 20% increments over 5 years, beginning on their grant date on May 16, 2006.
- (6) The stock appreciation rights vest in 20% increments over 5 years, beginning on their grant date on July 23, 2007.
- (7) The stock appreciation rights vest in 20% increments over 5 years, beginning on their grant date on May 19, 2008.
- (8) The stock appreciation rights vest in 20% increments over 5 years, beginning on their grant date on May 19, 2009.
- (9) The stock appreciation rights vest in 20% increments over 5 years, beginning on their grant date on May 18, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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