IKONICS CORP Form 8-K April 24, 2019 **UNITED STATES**

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) <u>April 24, 2019</u>

IKONICS CORPORATION

(Exact name of registrant as specified in its charter)

Minnesota000-2572741-0730027(State or other jurisdiction(IRS Employer
(Commission File Number)of incorporation)Identification No.)

4832 Grand Avenue

Duluth, Minnesota55807(Address of principal executive offices)(Zip Code)

Registrant's telephone number, including area code (218) 628-2217

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act (17 CFR 230.405) or Rule 12b-2 of the Exchange Act (17 CFR 240.12b 2).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

ItemDeparture of Directors or Certain Officers: Election of Directors: Appointment of Certain Officers:5.02.Compensatory Arrangements of Certain Officers.

On April 24, 2019, IKONICS Corporation announced that William C. Ulland, President, Chief Executive Officer and Chairman of the Board of Directors (the "Board"), notified the Board of his intention to retire from his positions as President and Chief Executive Officer at the end of the current year, provided a successor has been identified by the Board. Mr. Ulland will continue to serve as President and Chief Executive Officer until such time as a successor has been named. Following his retirement as President and Chief Executive Officer, Mr. Ulland will continue to serve as Chairman of the Board.

Item 9.01. Financial Statements and Exhibits.

(d) <u>Exhibit</u>.

Exhibit Number Description

99 Press Release dated April 24, 2019

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

IKONICS CORPORATION

Date: April 24, 2019

/s/ Jon Gerlach

Jon Gerlach Chief Financial Officer and Vice President of Finance