Lumentum Holdings Inc.

Form 4

August 26, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940 1(b).

Symbol

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Cocchi Craig

(Middle) (First)

C/O LUMENTUM, 400 NORTH MCCARTHY BLVD

(Street)

(Ctota)

08/24/2015

3. Date of Earliest Transaction

(Month/Day/Year)

Lumentum Holdings Inc. [LITE]

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X_ Officer (give title _ Other (specify below)

SVP, Operations

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MILPITAS, CA 95035

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acqu	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/24/2015		Code V $M_{\underline{(1)}}$	Amount 914	(D)	Price \$ 0	914	D	
Common Stock	08/24/2015		F(2)	400	D	\$ 21.2	514	D	
Common Stock	08/24/2015		M <u>(1)</u>	4,255	A	\$ 0	4,769	D	
Common Stock	08/24/2015		F(2)	1,860	D	\$ 21.2	2,909	D	
Common Stock	08/24/2015		M <u>(1)</u>	1,143	A	\$0	4,052	D	

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Common Stock 08/24/2015 $F_{\underline{(2)}}$ 445 $D_{\underline{(21.25)}}$ 3,607 $D_{\underline{(21.25)}}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

8. I De Sec (In

Acquired Disposed of or Reneficially Owned

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	ion Date, if Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	08/24/2015		M	914	08/20/2015	<u>(3)</u>	Common Stock	914
Restricted Stock Units	\$ 0	08/24/2015		D	4,255	08/20/2015	<u>(3)</u>	Common Stock	4,255
Restricted Stock Units	\$ 0	08/24/2015		M	1,143	08/22/2015	(3)	Common Stock	1,143

Reporting Owners

Reporting Owner Name / Address	Relationships							
• 6	Director	10% Owner	Officer	Other				

Cocchi Craig
C/O LUMENTUM

400 NORTH MCCARTHY BLVD SVP, Operations

MILPITAS, CA 95035

Signatures

/s/ Judy G Hamel as Attorney-in-Fact

08/26/2015

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**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each unit converts upon vesting into one share of common stock.
 - These shares were retained by the Company in order to meet the tax withholding obligations of the award holder in connection with the
- (2) vesting of an installment of the restricted stock unit award. The amount retained by the Company was not in excess of the amount of the tax liability.
- (3) Restricted Stock Units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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