Lovell Minnick Partners LLC Form 3 January 17, 2019

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TORTOISE ENERGY INDEPENDENCE FUND, INC. **Â** Lovell Minnick Partners LLC (Month/Day/Year) [NDP] 01/31/2018 (Middle) (Last) (First) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 555 EAST LANCASTER (Check all applicable) **AVENUE. SUITE 510** (Street) 6. Individual or Joint/Group Director \_\_X\_\_ 10% Owner \_X\_ Other Officer Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Control Person of Adviser Person RADNOR, Â PAÂ 19087 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 2. Amount of Securities 1. Title of Security Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Shares See footnotes (1) (2) (3)Ι 4.283.898 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Derivative	Security:	

#### Edgar Filing: Lovell Minnick Partners LLC - Form 3

Date Expiration Amount or Security Direct (D)
Exercisable Date Number of or Indirect
Shares (I)
(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lovell Minnick Partners LLC

555 EAST LANCASTER AVENUE, SUITE 510 X Control Person of Adviser RADNOR, PAÂ 19087

**Signatures** 

Lovell Minnick Partners LLC, by /s/ Timothy D. Rampe, its General Counsel 01/17/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects securities held by Tortoise Capital Advisors, L.L.C., the investment adviser of the Issuer.
  - Lovell Minnick Partners LLC is the managing member of Fund IV UGP LLC, which is, in turn the general partner of Lovell Minnick Equity Advisors IV LP, which is, in turn, the managing member of LM Tortoise Investment Holdings IV LLC, which in turn is the managing member of LM Tortoise Investment Holdings IV Co-Investment LLC, which in turn is the majority owner of LM Tortoise
- Holdings LLC, which is in turn the majority owner of Tortoise Investments, LLC. Tortoise Investments, LLC is the sole member of Tortoise Parent Holdco LLC, which is in turn the sole member of Tortoise Borrower LLC, which is in turn the sole member of Tortoise Capital Advisors, L.L.C., the investment adviser of the Issuer.
- The Reporting Person disclaims beneficial ownership of the securities reported herein, except to the extent of such Reporting Person's pecuniary interest therein, and, pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, the Reporting Person states that the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2