Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 8-K

Firsthand Technology Value Fund, Inc. Form 8-K

August 31, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

**CURRENT REPORT** 

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

**Date of Report: August 31, 2018** (Date of earliest event reported)

#### Firsthand Technology Value Fund, Inc.

(Exact name of registrant as specified in its charter)

Maryland
(State or other jurisdiction of incorporation)

814-00830
(Commission File Number)

(IRS Employer Identification Number)

150 Almaden Blvd., Suite 1250

95113 San Jose, CA (Zip Code)

(Address of principal executive offices)

(800) 976-8776

(Registrant's telephone number, including area code)

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(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

[	]Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
[	]Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
[	]Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
[	]Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 8.01. Other Events

On August 31, 2018, Firsthand Technology Value Fund, Inc. issued a press release announcing today that Revasum, a leading supplier of wafer processing equipment, has closed an \$8 million funding round and announced its intention to seek an initial public offering ("IPO") in Australia.

#### Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1 Press Release dated August 31, 2018.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: August 31, 2018 FIRSTHAND
TECHNOLOGY
VALUE FUND,
INC.

By:/s/ Kevin Landis Kevin Landis President

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## **Exhibit Index**

## **Exhibit No. Description**

99.1 Press release dated August 31, 2018