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Firsthand Technology Value Fund, Inc. Form 8-K April 27, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report: April 27, 2018 (Date of earliest event reported)

Firsthand Technology Value Fund, Inc.

(Exact name of registrant as specified in its charter)

Maryland
(State or other jurisdiction of incorporation)

814-00830
(Commission File Number)

(Commission File Number)

27-3008946
(IRS Employer Identification Number)

150 Almaden Blvd., Suite 1250

San Jose, CA (Zip Code)
(Address of principal executive offices)

(800) 976-8776

(Registrant's telephone number, including area code)

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Not Applicable

(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

[] Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
[] Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
[] Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
[] Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

[X] Emerging growth company

[X] If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01. Other Events

On April 27, 2018, Firsthand Technology Value Fund, Inc. issued a press release announcing its top portfolio holdings at the end of March 2018. The top holdings include Pivotal Systems, IntraOp Medical, QMAT, Nutanix and Revasum. The press release also announced that, as of March 31, 2018, the Fund's top holdings constituted 71.1% of the Fund's preliminary net assets.

Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibit

99.1 Press Release dated April 27, 2018.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: April 27, 2018 FIRSTHAND
TECHNOLOGY
VALUE FUND,
INC.

By: /s/ Kevin Landis Kevin Landis President

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Exhibit Index

Exhibit No. Description

99.1 Press release dated April 27, 2018