Edgar Filing: PGT, Inc. - Form 4

PGT, Inc.

Form 4											
January 21, 2	2014										
FORM	14		~~~~~						OMB AF	PPROVAL	
	UNITED	STATES		RITIES A shington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check the if no long	ter								Expires:	January 31,	
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESExpires:2005Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 											
(Print or Type F	Responses)										
Hershberger Rodney Symbol			Symbol	ssuer Name and Ticker or Trading ool 7, Inc. [PGTI]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (1	Middle)	3. Date of Earliest Transaction (Cho			(Check	ck all applicable)				
				n/Day/Year)				X Director 10% Owner X Officer (give title Other (specify below) below) President and CEO			
			mendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)				
NORTH VENICE, FL 34275 _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person											
(City)	(State)	(Zip)	Tabl	le I - Non-D	Derivative S	Securi	ties Acqu	iired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)			Code (Instr. 3, 4 and 5)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
C				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock, par value \$0.01 per share	01/17/2014			М	85,051	A	\$ 1.51	839,094	D		
Common Stock, par value \$0.01 per share	01/17/2014			F	32,082	D	\$ 11.05	807,012	D		
Common Stock, par value \$0.01 per share	01/17/2014			D	52,969	D	\$ 11.05	754,043	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeriv Secu Acqu or Di (D)	rities hired (A) isposed of r. 3, 4,	6. Date Exerci: Expiration Dat (Month/Day/Y	te	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 1.51	01/17/2014		М		85,051	01/29/2014	01/29/2014	Common Stock	85,051

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Hershberger Rodney PGT, INC. 1070 TECHNOLOGY DRIVE NORTH VENICE, FL 34275	Х		President and CEO				
Ciamaturaa							

Signatures

/s/ Rodney	
Hershberger	01/21/2014
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

The transactions reported in this Form 4 were effected on January 17, 2014 pursuant to a binding written instruction executed

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners