

JOHNSON CONTROLS INC  
Form 4  
April 06, 2009

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Edwards Jeffrey S

(Last) (First) (Middle)  
49200 HALYARD DRIVE, P.O.  
BOX 8010  
(Street)

PLYMOUTH, MI 48170

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/02/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
| Common Stock                    | 04/02/2009                           |  | A                              | 6.592   | \$ 15.17  | D  |                                   |
| Common Stock                    |                                      |  |                                |   | 10,611.322  | I  | By 401(k) Plan Trust              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)        | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                      |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------|
|   |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount Number Shares |
| Phantom Stock Units - Retirement Restoration Plan | (4)  |                                      |  |                                |   | (5)  | (5)   | Common Stock | 1,245.               |
| Phantom Stock Units - Annual Incentive Plan       | (4)  |                                      |  |                                |   | (7)  | (7)   | Common Stock | 12,378               |
| Phantom Stock Units - Restricted Stock Plan       | (9)  |                                      |  |                                |   | (9)  | (9)   | Common Stock | 1,590.               |
| Employee Stock Option (Right to Buy)              | \$ 17.5167   |                                      |  |                                |   | 11/19/2005 <sup>(11)</sup>                               | 11/19/2013  | Common Stock | 60,000               |
| Employee Stock Option (Right to Buy)              | \$ 20.5633   |                                      |  |                                |   | 11/17/2006 <sup>(11)</sup>                               | 11/17/2014  | Common Stock | 90,000               |
| Employee Stock Option (Right to Buy)              | \$ 22.5617   |                                      |  |                                |   | 11/16/2007 <sup>(11)</sup>                               | 11/16/2015  | Common Stock | 150,000              |
| Employee Stock Option                             | \$ 23.965  |                                      |  |                                |   | 10/02/2008 <sup>(11)</sup>                               | 10/02/2016  | Common Stock | 60,000               |



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- (8) Includes 106,214 phantom stock units acquired through the reinvestment of dividends on April 2, 2009, at a price of \$15.02 per phantom stock unit.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were
- (9) accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (10) Includes 283,941 phantom stock units acquired through the reinvestment of dividends on April 2, 2009, at a price of \$15.02 per phantom stock unit.
- (11) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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