

Edgar Filing: Pioneer Floating Rate Trust - Form NSAR-A

Pioneer Floating Rate Trust
Form NSAR-A
July 29, 2011

PAGE 1
000 A000000 05/31/2011
000 C000000 0001305767
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 PIONEER FLOATING RATE TRUST
001 B000000 811-21654
001 C000000 6177427825
002 A000000 60 STATE STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02109
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 PIONEER INVESTMENT MANAGEMENT, INC.
008 B000001 A
008 C000001 801-8255
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
010 A000001 PIONEER INVESTMENT MANAGEMENT, INC.
010 B000001 801-8255
010 C010001 BOSTON
010 C020001 MA
010 C030001 02109
010 A000002 STATE STREET BANK & TRUST COMPANY
010 C010002 BOSTON
010 C020002 MA
010 C030002 02101
011 A000001 MERRILL LYNCH & CO.
011 B000001 8-7221
011 C010001 NEW YORK
011 C020001 NY
PAGE 2
011 C030001 10281
011 C040001 1201
011 A000002 UBS INVESTMENT BANK
011 B000002 8-022651
011 C010002 STAMFORD
011 C020002 CT

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011 C030002 06901
011 A000003 RBC CAPITAL MARKETS CORP.
011 B000003 8-045411
011 C010003 MINNEAPOLIS
011 C020003 MN
011 C030003 55402
011 C040003 4422
011 A000004 ADVEST, INC.
011 B000004 8-21409
011 C010004 HARTFORD
011 C020004 CT
011 C030004 06103
011 A000005 JANNEY MONTGOMERY SCOTT, LLC
011 B000005 8-462
011 C010005 PHILADELPHIA
011 C020005 PA
011 C030005 19103
011 C040005 1675
011 A000006 A.G. EDWARDS
011 B000006 8-13580
011 C010006 ST. LOUIS
011 C020006 MO
011 C030006 63103
011 A000007 STIFEL, NICOLAUS & CO., INC.
011 B000007 8-001447
011 C010007 ST. LOUIS
011 C020007 MO
011 C030007 63102
011 A000008 ROBERT W. BAIRD & CO.
011 B000008 8-00497
011 C010008 MILWAUKEE
011 C020008 WI
011 C030008 53201
011 A000009 FERRIS, BAKER WATTS, INC.
011 B000009 8-16427
011 C010009 BALTIMORE
011 C020009 MD
011 C030009 21202
011 A000010 J.J.B. HILLIARD, W.L. LYONS, INC.
011 B000010 8-03868
011 C010010 LOUISVILLE
011 C020010 KY
011 C030010 40202
011 A000011 KEYBANC CAPITAL MARKETS
011 B000011 8-0000
PAGE 3
011 C010011 CLEVELAND
011 C020011 OH
011 C030011 44114
011 A000012 MORGAN KEEGAN & CO., INC.
011 B000012 8-15001
011 C010012 MEMPHIS
011 C020012 TN
011 C030012 38103
011 A000013 OPPENHEIMER & CO.
011 B000013 8-04077
011 C010013 NEW YORK
011 C020013 NY
011 C030013 10021
011 A000014 RYAN BECK & CO., INC.
011 B000014 8-12296
011 C010014 FLORHAM PARK

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011 C020014 NJ
011 C030014 07932
011 A000015 SUNTRUST ROBINSON HUMPHREY
011 B000015 8-17212
011 C010015 ATLANTA
011 C020015 GA
011 C030015 30308
011 A000016 WEDBUSH MORGAN SECURITIES, INC.
011 B000016 8-12987
011 C010016 LOS ANGELES
011 C020016 CA
011 C030016 90017
011 A000017 WELLS FARGO SECURITIES, LLC
011 B000017 8-22947
011 C010017 SAN FRANCISCO
011 C020017 CA
011 C030017 94104
012 A000001 PIONEER INVEST. MGMT. SHAREHOLDER SVCS., INC.
012 B000001 85-1359
012 C010001 BOSTON
012 C020001 MA
012 C030001 02109
012 A000002 AMERICAN STOCK TRANSFER & TRUST CO. (COMMON)
012 B000002 85-0000
012 C010002 BROOKLYN
012 C020002 NY
012 C030002 11219
012 A000003 DEUTSCHE BANK TRUST COMPANY AMERICAS (PREF.)
012 B000003 85-0000
012 C010003 NEW YORK
012 C020003 NY
012 C030003 10005
013 A000001 ERNST & YOUNG, LLP
013 B010001 BOSTON
013 B020001 MA
PAGE 4
013 B030001 02116
013 B040001 5072
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 E010001 X
015 A000002 BANK AUSTRIA
015 B000002 S
015 C010002 VIENNA
015 D010002 AUSTRIA
015 E040002 X
015 A000003 BANQUE BRUXELLES LAMBERT
015 B000003 S
015 C010003 BRUSSELS
015 D010003 BELGIUM
015 E040003 X
015 A000004 BANK OF BOSTON
015 B000004 S
015 C010004 SAO PAULO
015 D010004 BRAZIL
015 E040004 X
015 A000005 NATIONAL AUSTRALIA BANK
015 B000005 S
015 C010005 MELBOURNE

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015 D010005 AUSTRALIA
015 E040005 X
015 A000006 ROYAL BANK OF CANADA
015 B000006 S
015 C010006 TORONTO
015 D010006 CANADA
015 E040006 X
015 A000007 DEN DANSKE BANK
015 B000007 S
015 C010007 COPENHAGEN
015 D010007 DENMARK
015 E040007 X
015 A000008 MERITA BANK
015 B000008 S
015 C010008 HELSINKI
015 D010008 FINLAND
015 E040008 X
015 A000009 BANQUE PARIBAS
015 B000009 S
015 C010009 PARIS
015 D010009 FRANCE
015 E040009 X
015 A000010 DRESDNER BANK
015 B000010 S
015 C010010 FRANKFURT
PAGE 5
015 D010010 GERMANY
015 E040010 X
015 A000011 CITIBANK, N.A.
015 B000011 S
015 C010011 ATHENS
015 D010011 GREECE
015 E040011 X
015 A000012 STANDARD CHARTERED BANK
015 B000012 S
015 C010012 HONG KONG
015 D010012 HONG KONG
015 E040012 X
015 A000013 CITIBANK, N.A.
015 B000013 S
015 C010013 MUMBAI
015 D010013 INDIA
015 E040013 X
015 A000014 BANCA COMMERCIALE ITALIANA
015 B000014 S
015 C010014 MILAN
015 D010014 ITALY
015 E040014 X
015 A000015 THE SUMITOMO BANK, LIMITED
015 B000015 S
015 C010015 TOKYO
015 D010015 JAPAN
015 E040015 X
015 A000016 CITIBANK, N.A.
015 B000016 S
015 C010016 SEOUL
015 D010016 SOUTH KOREA
015 E040016 X
015 A000017 HONG KONG BANK MALAYSIA
015 B000017 S
015 C010017 KUALA LUMPUR
015 D010017 MALAYSIA

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015 E040017 X
015 A000018 CITIBANK, N.A.
015 B000018 S
015 C010018 MEXICO CITY
015 D010018 MEXICO
015 E040018 X
015 A000019 MEESPIERSON
015 B000019 S
015 C010019 AMSTERDAM
015 D010019 NETHERLANDS
015 E040019 X
015 A000020 NATIONAL NOMINEES LIMITED
015 B000020 S
015 C010020 AUCKLAND
015 D010020 NEW ZEALAND
PAGE 6
015 E040020 X
015 A000021 DEN NORSE BANK
015 B000021 S
015 C010021 OSLO
015 D010021 NORWAY
015 E040021 X
015 A000022 CITIBANK, N.A.
015 B000022 S
015 C010022 WARSAW
015 D010022 POLAND
015 E040022 X
015 A000023 BANCO COMERCIAL PORTUGUESE SA
015 B000023 S
015 C010023 LISBON
015 D010023 PORTUGAL
015 E040023 X
015 A000024 STANDARD CHARTERED BANK
015 B000024 S
015 C010024 SINGAPORE
015 D010024 SINGAPORE
015 E040024 X
015 A000025 BANCO DE SANTANDER
015 B000025 S
015 C010025 MADRID
015 D010025 SPAIN
015 E040025 X
015 A000026 SKANDINAVISKA ENSKILDA BANKEN
015 B000026 S
015 C010026 STOCKHOLM
015 D010026 SWEDEN
015 E040026 X
015 A000027 UNION BANK OF SWITZERLAND AG
015 B000027 S
015 C010027 ZURICH
015 D010027 SWITZERLAND
015 E040027 X
015 A000028 CENTRAL TRUST OF CHINA
015 B000028 S
015 C010028 TAIPEI
015 D010028 TAIWAN
015 E040028 X
015 A000029 HONG KONG AND SHANGHAI BANKING CORPORATION
015 B000029 S
015 C010029 BANGKOK
015 D010029 THAILAND
015 E040029 X

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015 A000030 MIDLAND BANK
015 B000030 S
015 C010030 LONDON
015 D010030 UNITED KINGDOM
015 E040030 X
PAGE 7
015 A000031 FIRST NATIONAL BANK OF SOUTH AFRICA
015 B000031 S
015 C010031 JOHANNESBURG
015 D010031 SOUTH AFRICA
015 E040031 X
018 000000 Y
019 A000000 Y
019 B000000 56
019 C000000 PIONEERFDS
020 A000001 SUSQUEHANNA FINANCIAL GROUP, LLLP
020 C000001 0
020 A000002 BB&T INVESTMENT SERVICES, INC.
020 C000002 0
020 A000003 J.P. MORGAN SECURITIES INC.
020 B000003 13-3299429
020 C000003 0
020 A000004 EDGE TRADING INC.
020 B000004 13-1974107
020 C000004 0
020 A000005 KNIGHT CAPITAL MARKETS LLC
020 B000005 34-1391952
020 C000005 0
020 A000006 INSTINET, LLC
020 C000006 0
020 A000007 UBS SECURITIES LLC
020 B000007 13-3873456
020 C000007 0
020 A000008 DAHLMAN ROSE & CO. LLC
020 B000008 13-3957463
020 C000008 0
020 A000009 COLLINS STEWART LLC
020 B000009 94-3094414
020 C000009 0
020 A000010 CREDIT SUISSE SECURITES (USA) L.L.C.
020 B000010 13-5659485
020 C000010 0
021 000000 1
022 A000001 SG AMERICAS SECURITIES, LLC
022 B000001 13-3606844
022 C000001 194470
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022 A000002 BNP PARIBAS SECURITIES CORP.
022 B000002 13-3235334
022 C000002 188750
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022 A000003 DEUTSCHE BANK SECURITIES INC.
022 B000003 13-2730828
022 C000003 133070
022 D000003 0
022 A000004 J.P. MORGAN SECURITIES, INC.
022 B000004 13-3299429
PAGE 8
022 C000004 67976
022 D000004 0
022 A000005 BARCLAYS CAPITAL, INC.
022 C000005 43424

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|-----|---------|---------------------------------------|
| 022 | D000005 | 0 |
| 022 | A000006 | BANC OF AMERICA SECURITIES LLC |
| 022 | B000006 | 56-2058405 |
| 022 | C000006 | 22010 |
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| 022 | A000007 | COLIN HOCHSTIN CO. |
| 022 | C000007 | 7992 |
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| 022 | A000008 | MORGAN STANLEY & CO. INC. |
| 022 | B000008 | 13-2655998 |
| 022 | C000008 | 6793 |
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| 022 | A000009 | GOLDMAN, SACHS & CO. |
| 022 | B000009 | 13-5108880 |
| 022 | C000009 | 3352 |
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| 022 | A000010 | FINANCIAL WEST INVESTMENT GROUP, INC. |
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| 025 | A000001 | GOLDMAN SACHS ASSET MANAGEMENT PLC |
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| 025 | D000001 | 853 |
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| 026 | G020000 | N |
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| 027 | 000000 | N |
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| 047 | 000000 | Y |
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| 054 | B000000 | Y |
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| 064 | B000000 | Y |
| 065 | 000000 | N |
| 066 | A000000 | N |

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| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
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| | |
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| 072 S000000 | 159 |
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| 072 U000000 | 0 |
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| 080 A000000 | ICI MUTUAL INSURANCE CO. |
| 080 C000000 | 45000 |
| 081 A000000 | Y |
| 081 B000000 | 56 |
| 082 A000000 | N |
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| 084 | A000000 | N | |
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| 086 | A010000 | | 56 |
| | PAGE | 13 | |
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| 087 | A030000 | PHD | |
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| 087 | B020000 | 72369J201 | |
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| 087 | C020000 | 72369J300 | |
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| 088 | D000000 | Y | |
| SIGNATURE | MARK E. BRADLEY | | |
| TITLE | TREASURER | | |