

WONG IRWIN  
Form 5  
February 14, 2012

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form  
5 obligations  
may continue.  
See Instruction  
1(b).  
Form 3 Holdings  
Reported  
Form 4  
Transactions  
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 1.0

1. Name and Address of Reporting Person \*  
**WONG IRWIN**

(Last) (First) (Middle)

**777 NORTH BROADWAY**

(Street)

**LOS ANGELES, CA 90012**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
**CATHAY GENERAL BANCORP  
[CATY]**

3. Statement of Issuer's Fiscal Year Ended  
(Month/Day/Year)  
**12/31/2011**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
EVP - Branch Administration

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount<br>of Securities<br>Beneficially<br>Owned at<br>end of<br>Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             |                                      | Amount                                                                  | (A)<br>or<br>(D) Price                                                                                             |                                                                         |                                                                   |
| Common<br>Stock                       | 03/10/2011                              | Â                                                           | J <sup>(1)</sup>                     | 3.096                                                                   | A \$<br>16.9312                                                                                                    | 10,496.46 D                                                             | Â                                                                 |
| Common<br>Stock                       | 06/10/2011                              | Â                                                           | J <sup>(1)</sup>                     | 3.553                                                                   | A \$<br>14.7576                                                                                                    | 10,496.46 D                                                             | Â                                                                 |
| Common<br>Stock                       | 09/12/2011                              | Â                                                           | J <sup>(1)</sup>                     | 4.555                                                                   | A \$<br>11.5171                                                                                                    | 10,496.46 D                                                             | Â                                                                 |
| Common<br>Stock                       | 12/15/2011                              | Â                                                           | J <sup>(1)</sup>                     | 4.127                                                                   | A \$<br>12.7274                                                                                                    | 10,496.46 D                                                             | Â                                                                 |

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Common Stock 12/31/2011 Â J(2) 47.3247 A \$ 13.68 16,725.73 I By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    |                                | (A) (D)                                                                                 | Date Exercisable Expiration Date                         | Title Amount Number Shares                                    |
| Stock Option                               | \$ 16.275                                              | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 02/21/2005 <sup>(3)</sup> 02/21/2012                     | Common Stock 11,08                                            |
| Stock Option                               | \$ 19.925                                              | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 01/16/2005 <sup>(3)</sup> 01/16/2013                     | Common Stock 30,06                                            |
| Stock Option                               | \$ 24.8                                                | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 11/20/2004 <sup>(3)</sup> 11/20/2013                     | Common Stock 143,0                                            |
| Stock Option                               | \$ 37                                                  | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 02/17/2006 <sup>(3)</sup> 02/17/2015                     | Common Stock 32,58                                            |
| Stock Option                               | \$ 36.24                                               | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 01/25/2007 <sup>(3)</sup> 01/25/2016                     | Common Stock 31,00                                            |
| Stock Option                               | \$ 23.37                                               | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 02/21/2009 <sup>(4)</sup> 02/21/2018                     | Common Stock 20,00                                            |
| Restricted Stock Units                     | Â                                                      | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | Â <sup>(6)</sup> Â <sup>(6)</sup>                        | Common Stock 3,84                                             |
| Restricted Stock Units                     | Â                                                      | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | Â <sup>(7)</sup> Â <sup>(7)</sup>                        | Common Stock 4,77                                             |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

WONG IRWIN  
777 NORTH BROADWAY  
LOS ANGELES, CA 90012

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Â EVP - Branch  
Administration

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## Signatures

Irwin Wong

02/14/2012

Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend Reinvestment.
- (2) ESOP Earning Allocation.
- (3) The option is fully exercisable.
- (4) The option vests in 5 equal annual installments beginning 2/21/09.  
Consists of long term restricted stock units within the meaning of the Emergency Economic Stabilization Act of 2008, as amended from time to time, and the rules and regulations promulgated thereunder ("EESA"). Each unit represents a contingent right to receive one share of CATY Common Stock.
- (5) These restricted stock units are scheduled to vest in a single installment on March 23, 2013, or earlier in the event of death or disability, and are subject to certain transfer restrictions under EESA.
- (6) These restricted stock units are scheduled to vest in a single installment on December 15, 2013, or earlier in the event of death or disability, and are subject to certain transfer restrictions under EESA.
- (7) These restricted stock units are scheduled to vest in a single installment on December 15, 2013, or earlier in the event of death or disability, and are subject to certain transfer restrictions under EESA.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.