

CHENG DUNSON K
Form 5
February 14, 2012

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
CHENG DUNSON K

2. Issuer Name and Ticker or Trading Symbol
CATHAY GENERAL BANCORP [CATY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement of Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2011

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President & CEO

777 NORTH BROADWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

LOS ANGELES, CA 90012

(City) (State) (Zip)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	Â	Â	Â	Â	Â	Â	74,160	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	628,029	I	Husband & Wife Trust
Common Stock	12/31/2011	Â	J ⁽¹⁾	289	A	\$ 13.68	102,224	I	By ESOP

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option	\$ 16.275	Â	Â	Â	Â	Â	02/21/2003 ⁽²⁾	02/21/2012	Common Stock	79,32
Stock Option	\$ 19.925	Â	Â	Â	Â	Â	01/16/2004 ⁽²⁾	01/16/2013	Common Stock	153,00
Stock Option	\$ 24.8	Â	Â	Â	Â	Â	11/20/2004 ⁽²⁾	11/20/2013	Common Stock	246,9
Stock Option	\$ 37	Â	Â	Â	Â	Â	02/17/2006 ⁽²⁾	02/17/2015	Common Stock	154,9
Stock Option	\$ 32.47	Â	Â	Â	Â	Â	03/22/2005 ⁽²⁾	03/22/2015	Common Stock	245,00
Stock Option	\$ 33.54	Â	Â	Â	Â	Â	11/20/2005 ⁽²⁾	05/12/2015	Common Stock	264,6
Stock Option	\$ 36.24	Â	Â	Â	Â	Â	01/25/2007 ⁽²⁾	01/25/2016	Common Stock	154,9
Stock Option	\$ 23.37	Â	Â	Â	Â	Â	02/21/2009 ⁽²⁾	02/21/2018	Common Stock	154,9
Stock Option	\$ 23.37	Â	Â	Â	Â	Â	02/21/2009 ⁽³⁾	02/21/2018	Common Stock	100,00
Restricted Stock Units	Â	Â	Â	Â	Â	Â	Â ⁽⁵⁾	Â ⁽⁵⁾	Common Stock	12,38
Restricted Stock Units	Â	Â	Â	Â	Â	Â	Â ⁽⁶⁾	Â ⁽⁶⁾	Common Stock	21,91

